

# CMSC 724: Database Management Systems

## Query Processing and Optimization

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# Outline

- ▶ Part 1 Slides
  - Query evaluation techniques for large databases, Skew Avoidance, Query compilation/vectorization
  - Query Optimization: Overview, How good are the query optimizers, really?, Reordering for Outerjoins, Query Rewriting
- ▶ Adaptive Query Processing
  - Eddies
  - Progressive Query Optimization
  - Compilation and adaptivity
- ▶ Worst case optimal joins
- ▶ Froid: Databases and UDFs

# Traditional Optimization not Robust Enough

- ▶ In traditional settings:
  - Queries over many tables
  - Unreliability of traditional cost estimation
  - Success, maturity make problems more apparent, critical
- ▶ In new environments:
  - e.g. data integration, web services, streams, P2P...
  - Unknown dynamic characteristics for data and runtime
  - Increasingly aggressive sharing of resources and computation
  - Interactivity in query processing
- ▶ Note two distinct themes lead to the same conclusion:
  - Unknowns: even static properties often unknown in new environments and often unknowable a priori
  - Dynamics: environment changes can be very high
- ▶ **Motivates intra-query adaptivity**

# Some Related Topics

- ▶ Autonomic/self-tuning optimization
    - Chen and Roussopoulos: Adaptive selectivity estimation [SIGMOD 1994]
    - LEO (@IBM), SITS (@MSR): Learning from previous executions
  - ▶ Robust/least-expected cost optimization
  - ▶ Parametric optimization
    - Choose a collection of plans, each optimal for a different setting of parameters
    - Select one at the beginning of execution
  - ▶ Competitive optimization
    - Start off multiple plans... kill all but one after a while
  - ▶ Adaptive operators
- More details in our survey: “Adaptive Query Processing”; FnT 2007

# AQP: Overview/Summary

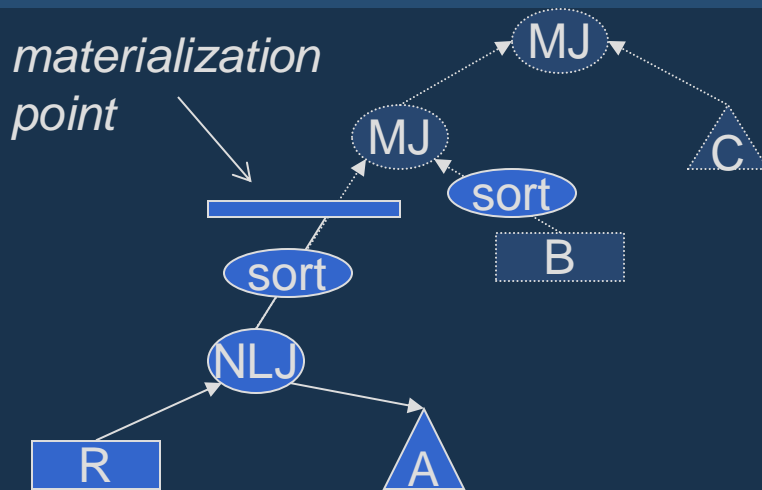
- ▶ Low-overhead, evolutionary approaches
  - Typically apply to non-pipelined execution
  - **Late binding:** Don't instantiate the entire plan at start
  - **Mid-query reoptimization:** At "materialization" points, review the remaining plan and possibly re-optimize
- ▶ Pipelined execution
  - No materialization points, so the above doesn't apply
  - The operators may contain complex states, raising correctness issues
  - **Eddies**
    - Always guarantee correct execution, but allows reordering during execution
- ▶ Lot of work in 1998-2008 timeframe -- not much since

# AQP: Overview/Summary

- ▶ We will start with a general overview of AQP as presented in a later survey and tutorial
- ▶ Then go through the three papers (first two quickly, and the last one in more detail)
  - First two will be covered in the tutorial

# Low-Overhead Adaptivity: Non-pipelined Execution

# Late Binding; Staged Execution



*Normal execution: pipelines separated by materialization points*

*e.g., at a sort, GROUP BY, etc.*

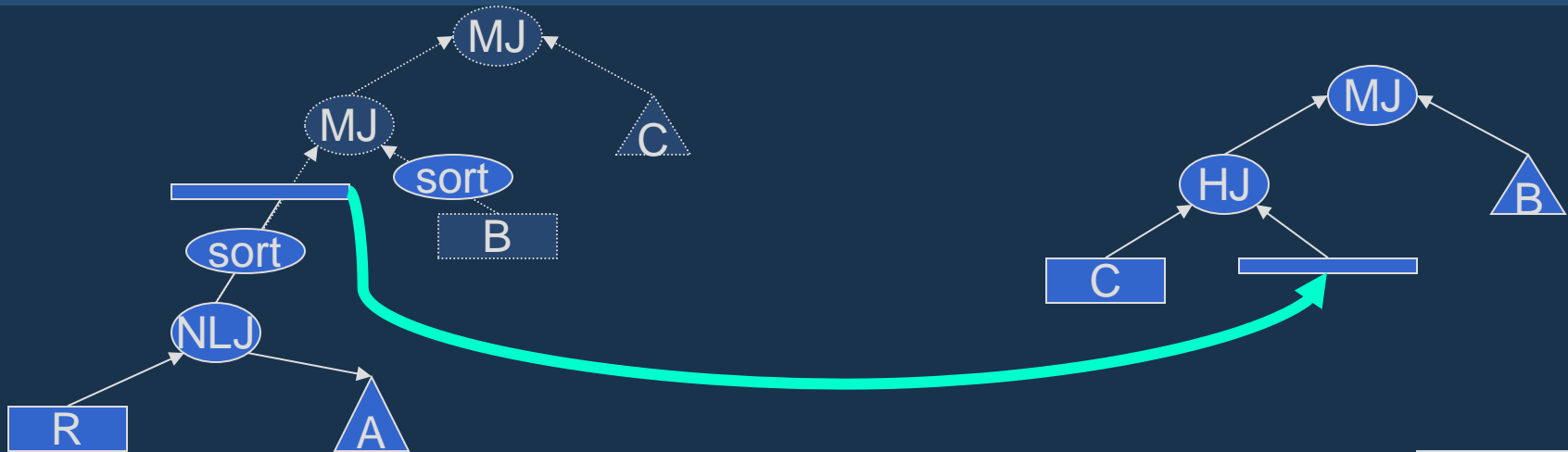
Materialization points make natural decision points where the *next* stage can be changed with little cost:

- Re-run optimizer at each point to get the next stage
- Choose among precomputed set of plans – *parametric* query optimization [INSS'92, CG'94, ...]



# Mid-query Reoptimization

[KD'98, MRS+04]



Choose **checkpoints** at which to monitor cardinalities

*Balance overhead and opportunities for switching plans*

Where?

If actual cardinality is **too different** from estimated,

*Avoid unnecessary plan re-optimization (where the plan doesn't change)*

When?

**Re-optimize** to switch to a new plan

*Try to maintain previous computation during plan switching*

How?

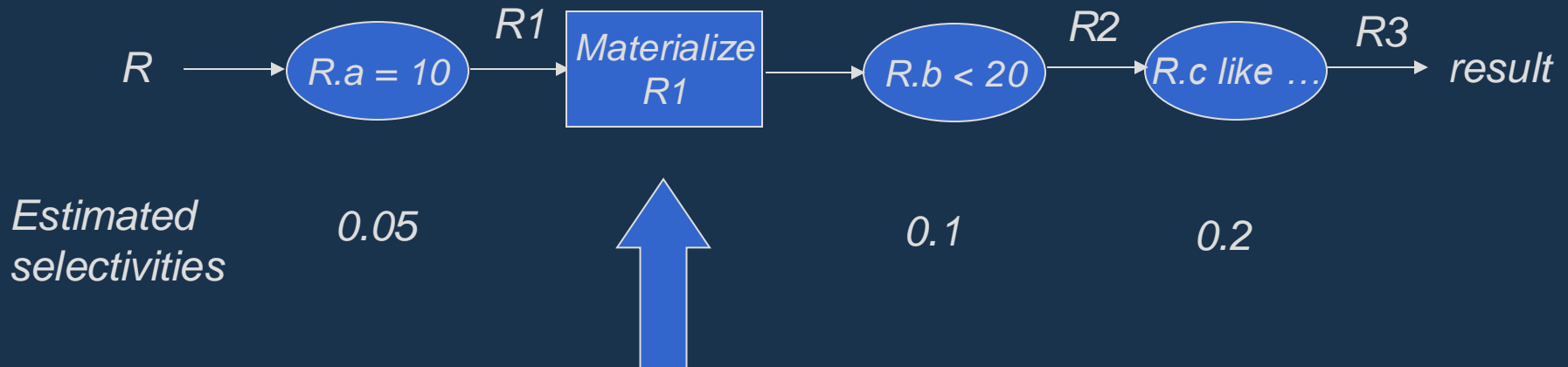
▪ Most widely studied technique:

- Federated systems (InterViso 90, MOOD 96), Red Brick, Query scrambling (96), Mid-query re-optimization (98), Progressive Optimization (04), Proactive Reoptimization (05), ...

# Mid-query Reoptimization

- At *materialization points*, re-evaluate the rest of the query plan
- Example:

Initial query plan chosen

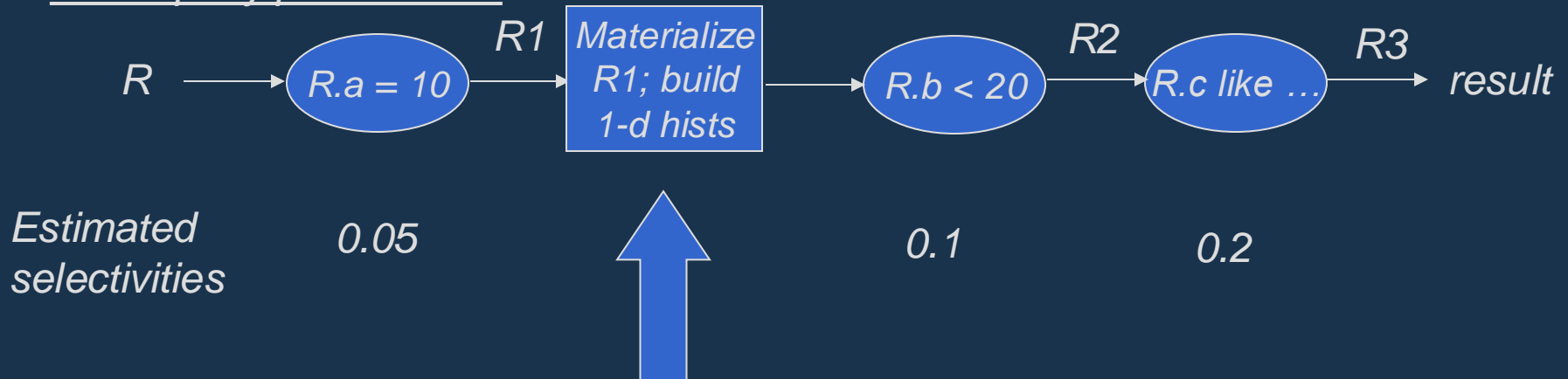


A free opportunity to re-evaluate the rest of the query plan  
- Exploit by gathering information about the materialized result

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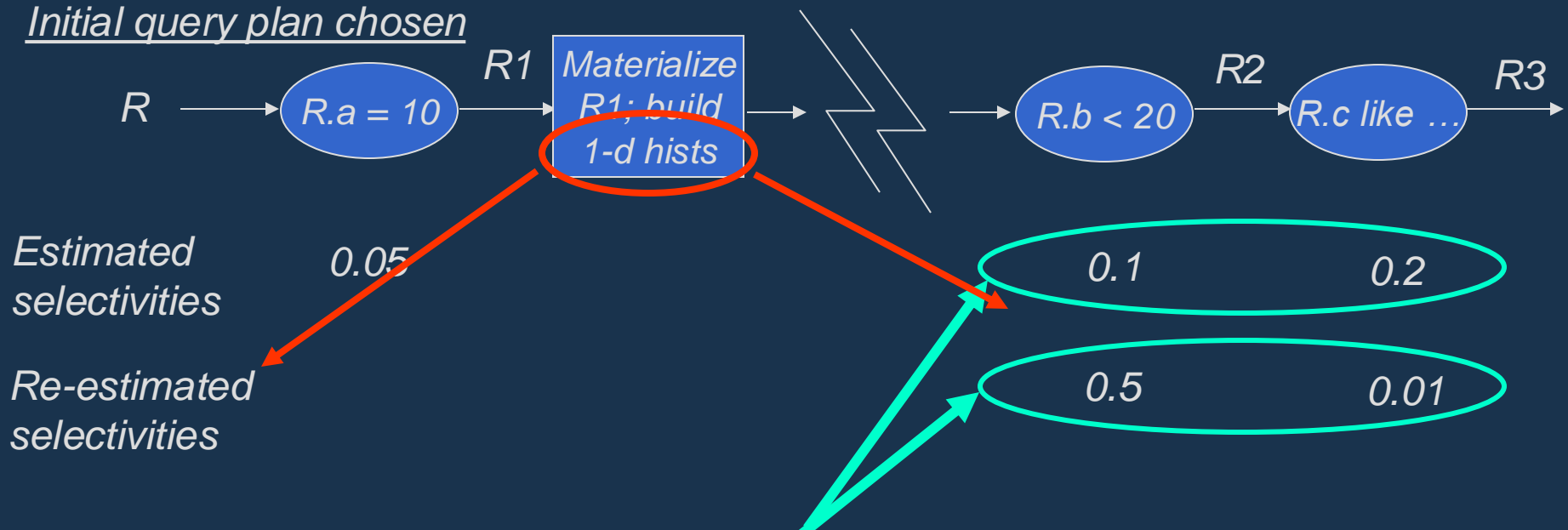


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# Mid-query Reoptimization

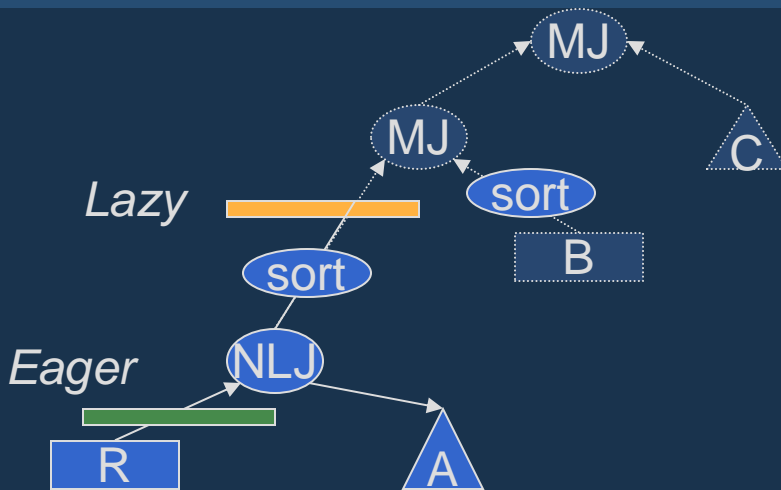
- At *materialization points*, re-evaluate the rest of the query plan
- Example:

Initial query plan chosen



Significantly different  $\rightarrow$  original plan probably sub-optimal  
Reoptimize the *remaining part of the query*

# Where to Place Checkpoints?



More checkpoints → more opportunities for switching plans

Overhead of (simple) monitoring is small  
[SLMK'01]

Consideration: it is easier to switch plans at some checkpoints than others

*Lazy* checkpoints: placed above materialization points

- No work need be wasted if we switch plans here


*Eager* checkpoints: can be placed anywhere

- May have to discard some partially computed results
- Useful where optimizer estimates have high uncertainty

# When to Re-optimize?

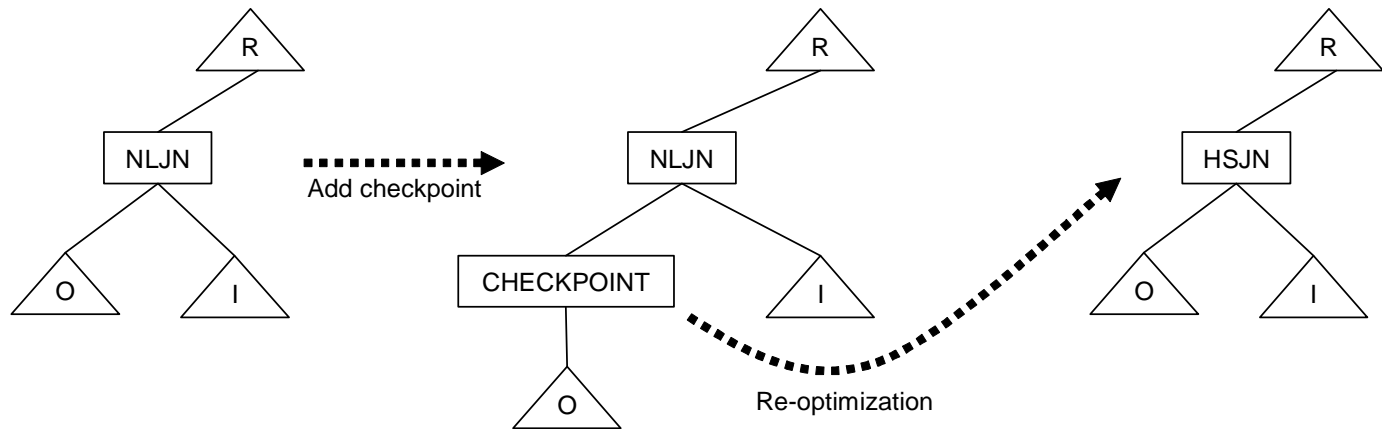
- Suppose actual cardinality is different from estimates: how high a difference should trigger a re-optimization?
  - Idea: do not re-optimize if current plan is still the best
1. Heuristics-based [KD'98]:
    - e.g., re-optimize < time to finish execution
  2. **Validity range** [MRS+04]: precomputed range of a parameter (e.g., a cardinality) within which plan is optimal
    - Place eager checkpoints where the validity range is narrow
    - Re-optimize if value falls outside this range
    - Variation: bounding boxes [BBD'05]

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  - ▶ Adaptive Query Processing
    - Eddies
    - **Progressive Query Optimization**
    - Compilation and adaptivity
- 

# Overview


- ▶ Trigger re-optimization during query execution if errors too high
- ▶ Through use of CHECK operators inserted into the query plan
  - Succeeds if the observed values within a range around the estimates
- ▶ If optimizer estimates accurate, the only overhead is the “couting” done by CHECK



**Figure 2:** Adding CHECK to the outer of a NLJN

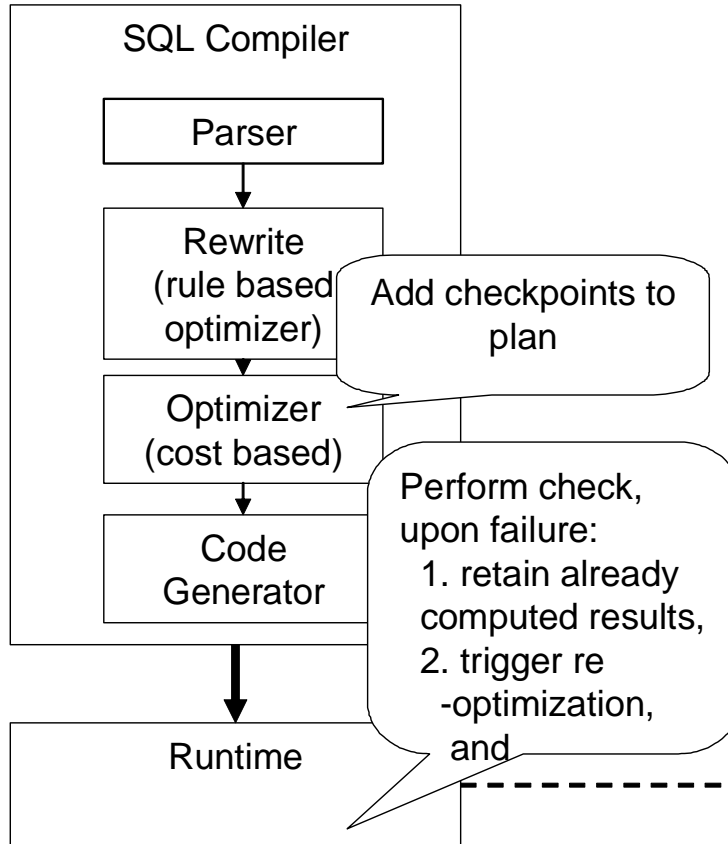


# Overview

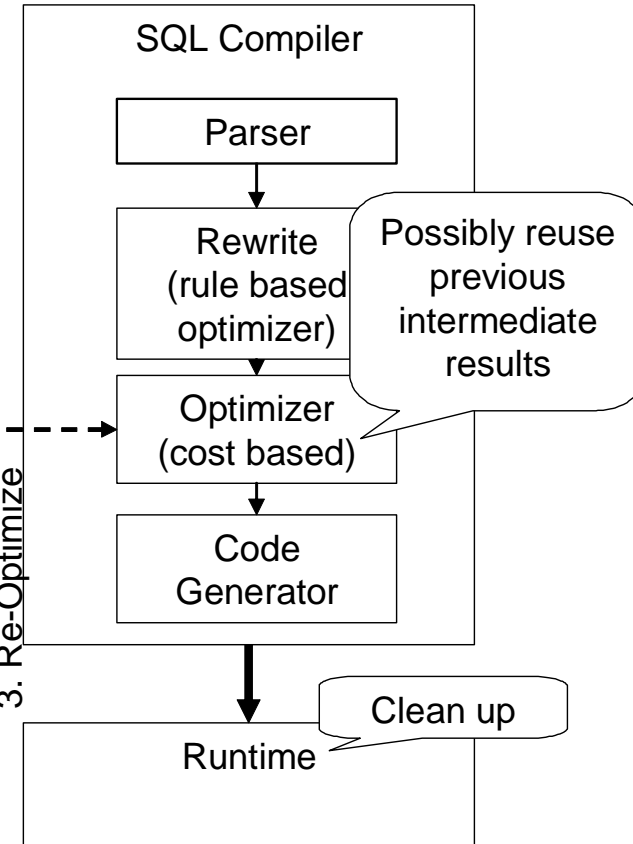
- ▶ Trigger re-optimization during query execution if errors too high
  - ▶ Through use of CHECK operators inserted into the query plan
    - Succeeds if the observed values within a range around the estimates
  - ▶ If optimizer estimates accurate, the only overhead is the “counting” done by CHECK
  
  - ▶ If CHECK detects significant error, then “reoptimize”
    - Partial results made available to the optimizer to use if it wants (in the form of a materialized view)
- 

# Architecture

## I. Initial run



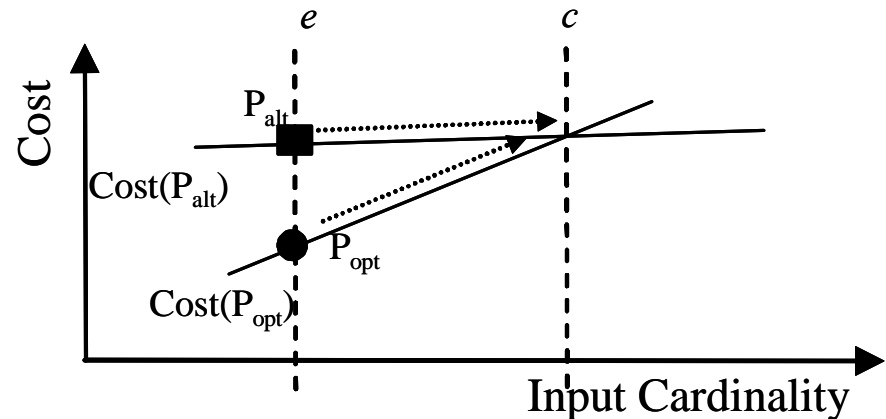
## II. Re-optimization



**Figure 1: Progressive Optimization architecture**

# Computing Validity Ranges

- ▶ Helps only re-optimize when necessary
- ▶ The general problem is that of “parametric” optimization
  - i.e., find the best plan for each combination of parameters
  - Too expensive
- ▶ Instead:
  - Consider P1 and P2 -- two identical plans except for the top operator
  - Let  $\text{cost}(P1) < \text{cost}(P2)$  per the estimates  $\rightarrow$  we would choose P1 over P2
  - Let “x” denote an edge into the top operator, and let “result(x) = e” denote the result flowing along “x”
  - Figure out: at what value of  $|\text{result}(x)|$ , we would have chosen P2 instead

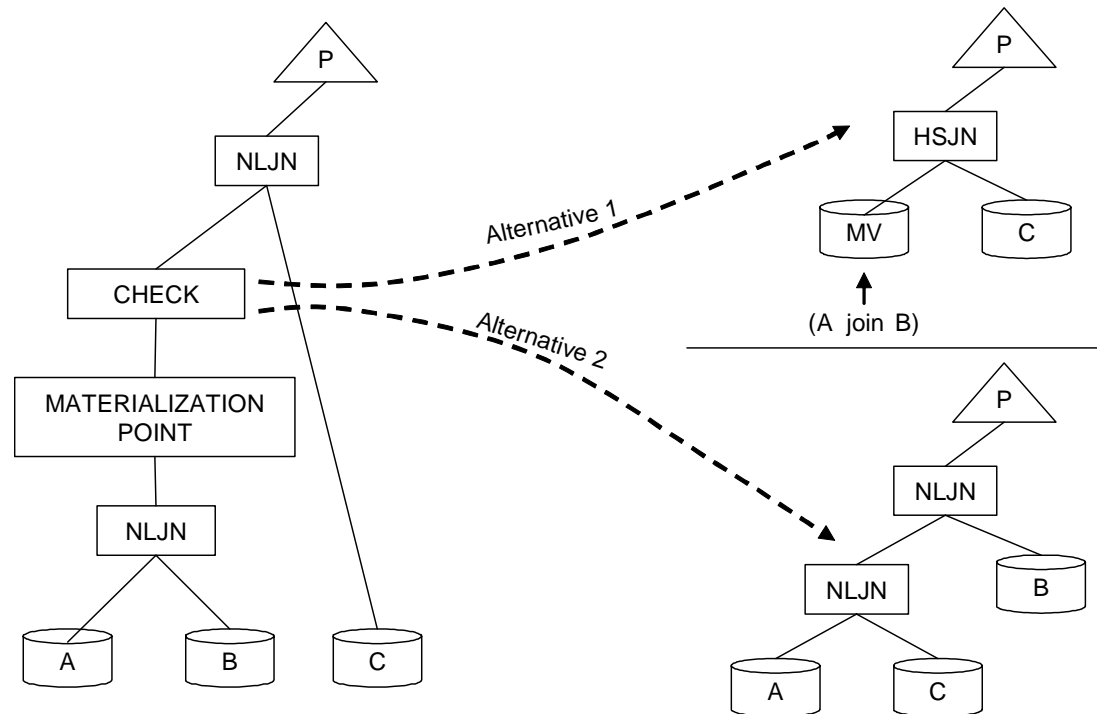


# Computing Validity Ranges

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  - Figure out: at what value of  $|\text{result}(x)|$ , we would have chosen P2 instead
- ▶ Use numerical techniques to find these validity ranges

# Reusing Partial Results

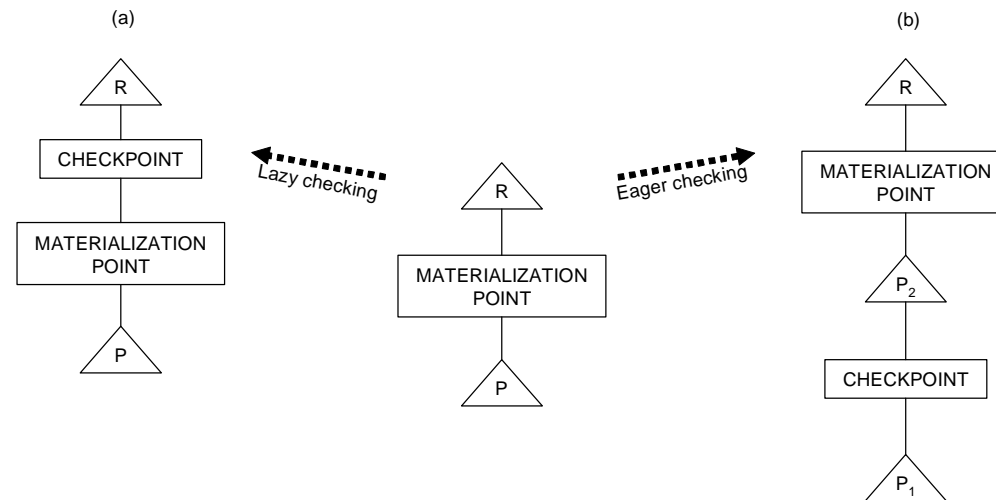
- ▶ Treat it as a materialized view, and let the optimizer decide
- ▶ If the plan under CHECK has a side-effect (e.g., update), then must reuse that plan (i.e., not redo that portion)
- ▶ In many cases, better not to use the partial result



**Figure 6:** Two alternatives considered in re-optimization

# Lazy vs Eager Checking

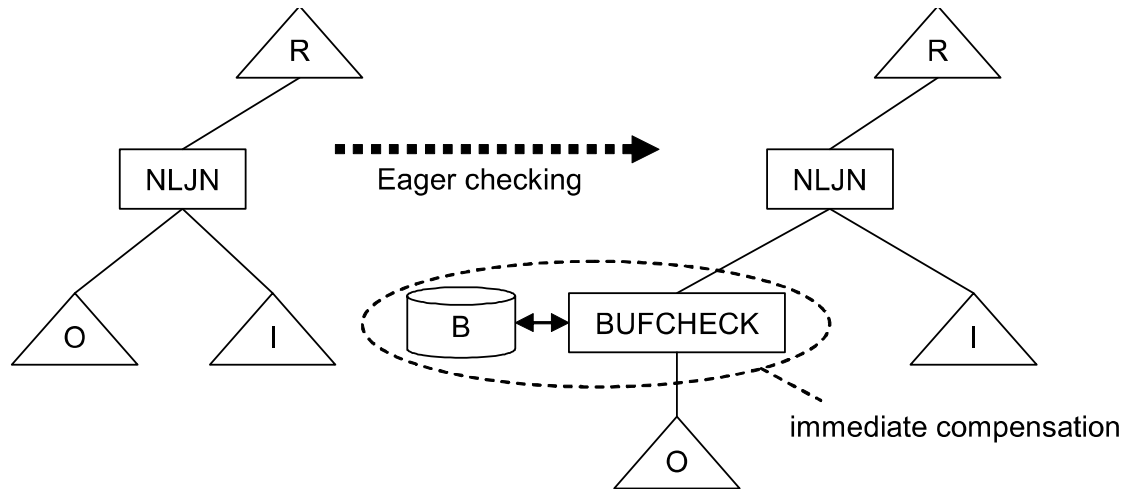
- ▶ If there is already a materialization point, can add CHECK there for free (lazy)
- ▶ Can add explicit materialization along with a CHECK
  - Extra overhead in doing that
- ▶ Eager CHECKs don't wait for materialization
- ▶ ECWC (Eager without compensation)
  - There is a materialization afterwards → no results will be output to the user
  - So can easily reoptimize without worrying about compensation



**Figure 7:** Lazy checking (LC) and eager checking without compensation (ECWC)

# Eager Checking

- ▶ With Buffering: Buffer results until you are sure things are okay
  - Delays the pipeline for some time

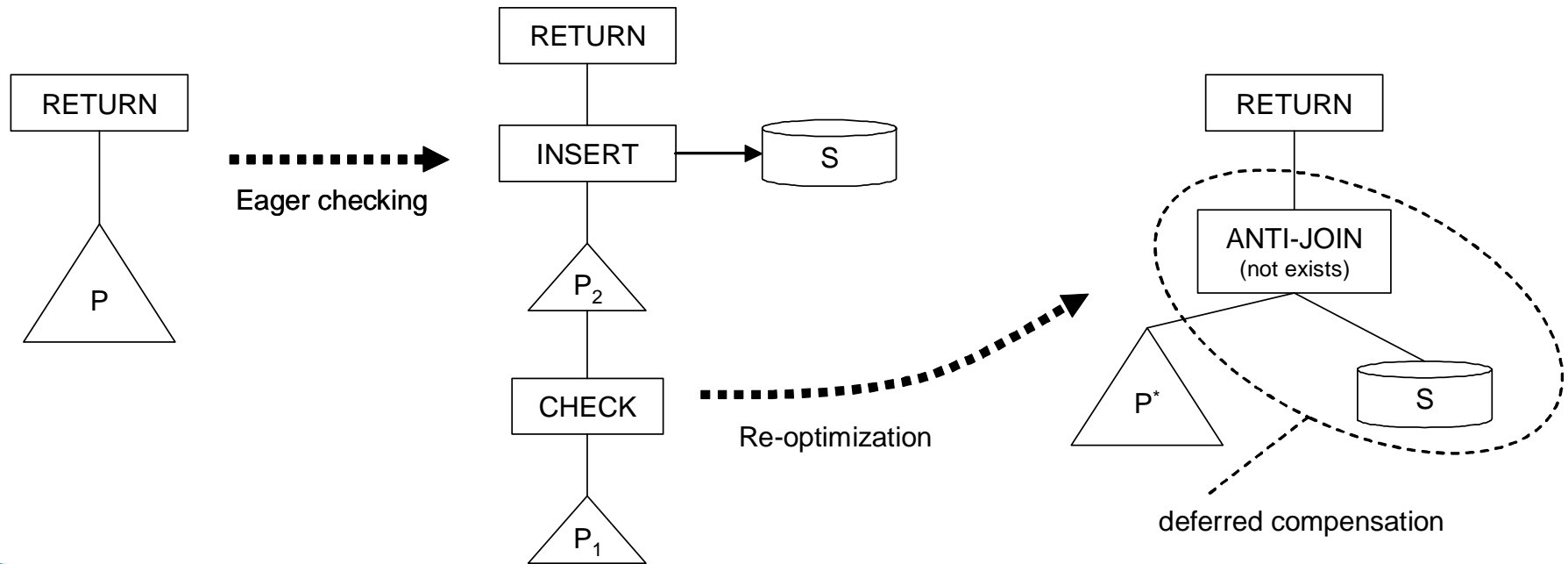


**Figure 8:** Eager checking with Buffering

# Eager Checking

## ▶ With Deferred Compensation

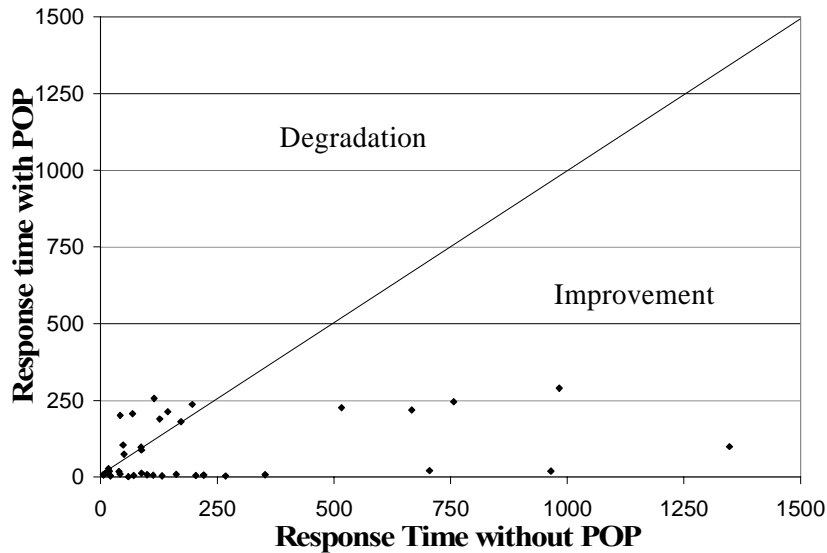
- Keep track of what tuples have already been output
- Check that side table before outputting new tuples after reoptimization
- Potentially a lot of repeated work



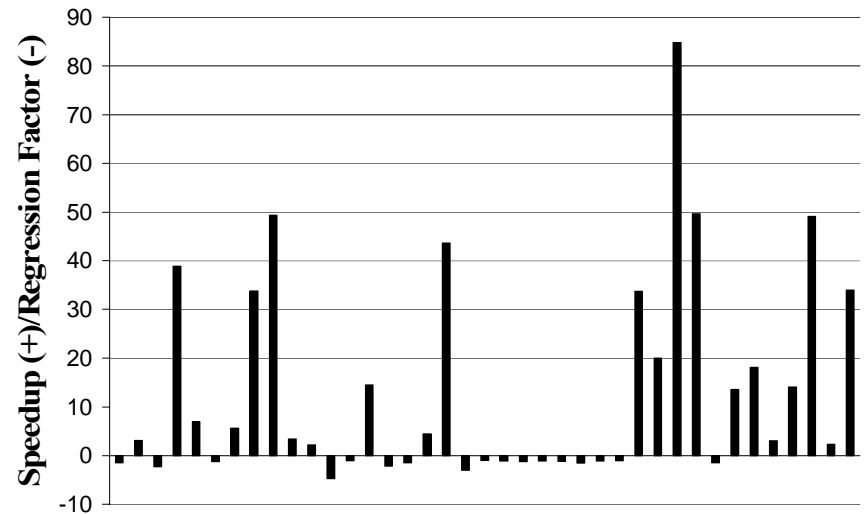


# Experiments

- ▶ Degradation in some cases -- sometimes two errors cancelled each other out in the original plan



**Figure 15:** Scatter Plot of Response Times with and without POP on the DMV database



**39 Real-World Complex Queries**

**Figure 16:** Speedup and Regression of each Query

# How to Reoptimize

Getting a better plan:

- Plug in actual cardinality information acquired during this query (as possibly histograms), and re-run the optimizer

Reusing work when switching to the better plan:

- Treat fully computed intermediate results as materialized views
  - Everything that is under a materialization point
- Note: It is optional for the optimizer to use these in the new plan

➤ Other approaches are possible (e.g., query scrambling [UFA'98])

# Pipelined Execution

# Adapting Pipelined Queries

Adapting pipelined execution is often necessary:

- Too few materializations in today's systems
- Long-running queries
- Wide-area data sources
- Potentially endless data streams

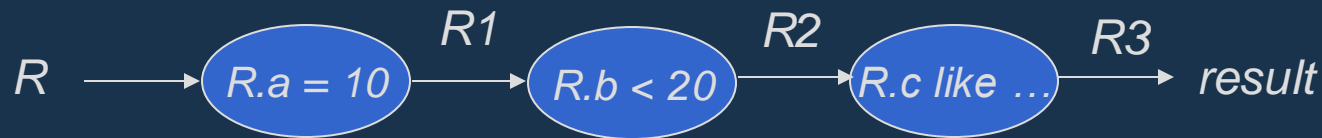
The tricky issues:

- Some results may have been delivered to the user
  - Ensuring correctness non-trivial
- Database operators build up *state*
  - Must reason about it during adaptation
  - May need to manipulate state

# Eddies [AH'00]

## Query processing as routing of tuples through operators

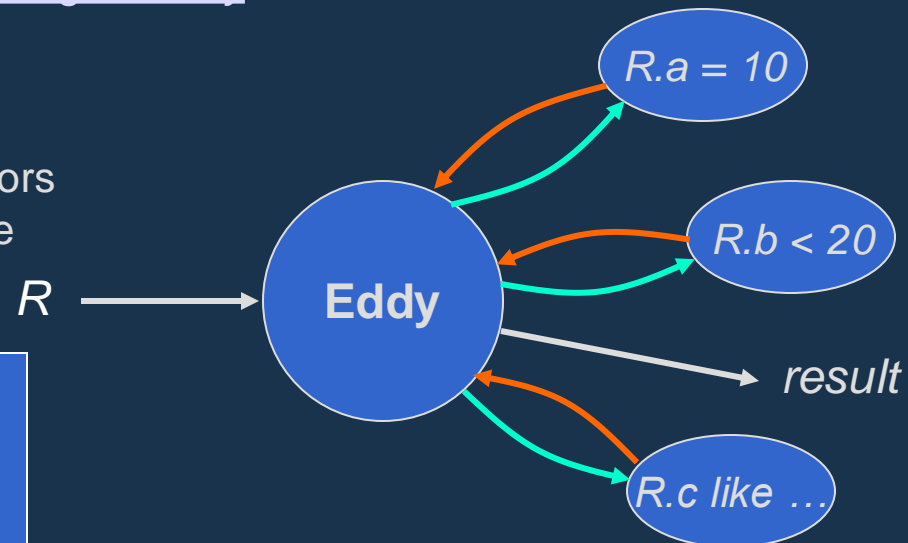
### A traditional pipelined query plan



### Pipelined query execution using an eddy

An eddy operator

- Intercepts tuples from sources and output tuples from operators
- Executes query by routing source tuples through operators

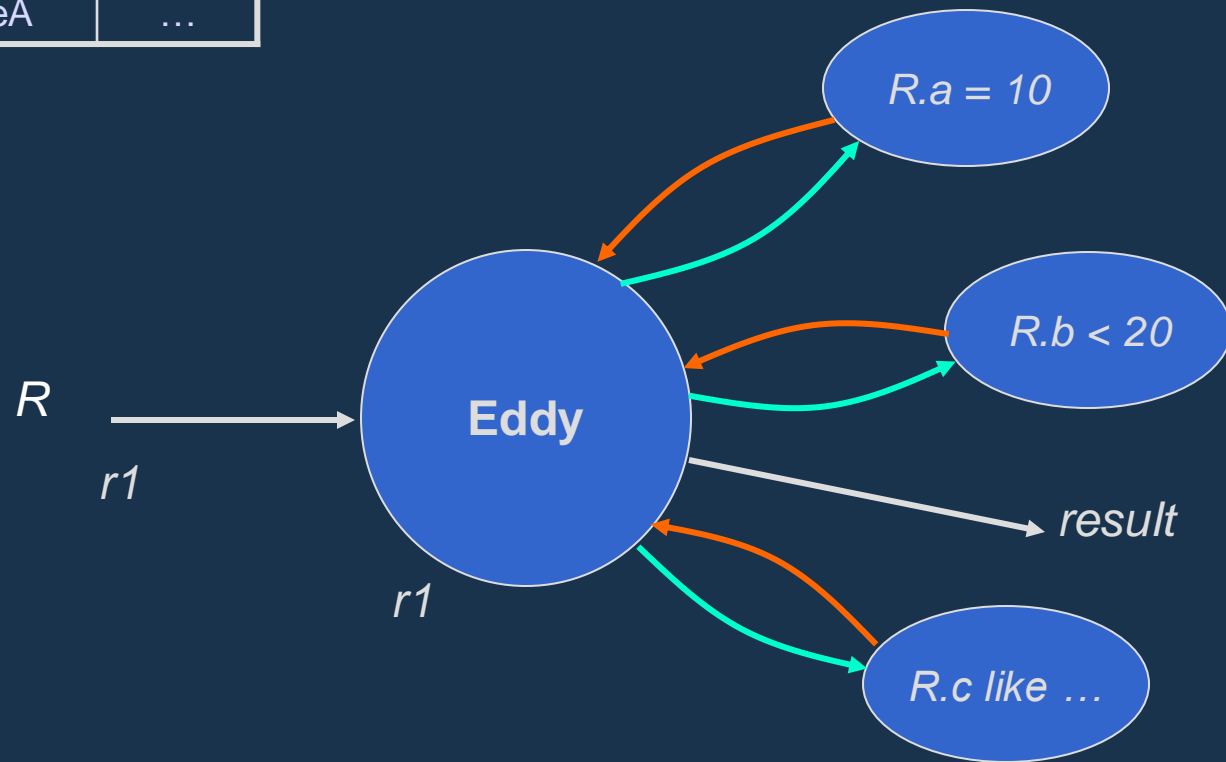


*Encapsulates all aspects of adaptivity in a "standard" dataflow operator: measure, model, plan and actuate.*

# Eddies [AH'00]

An  $R$  Tuple:  $r1$

<u>a</u>	<u>b</u>	<u>c</u>	...
15	10	AnameA	...



# Eddies [AH'00]

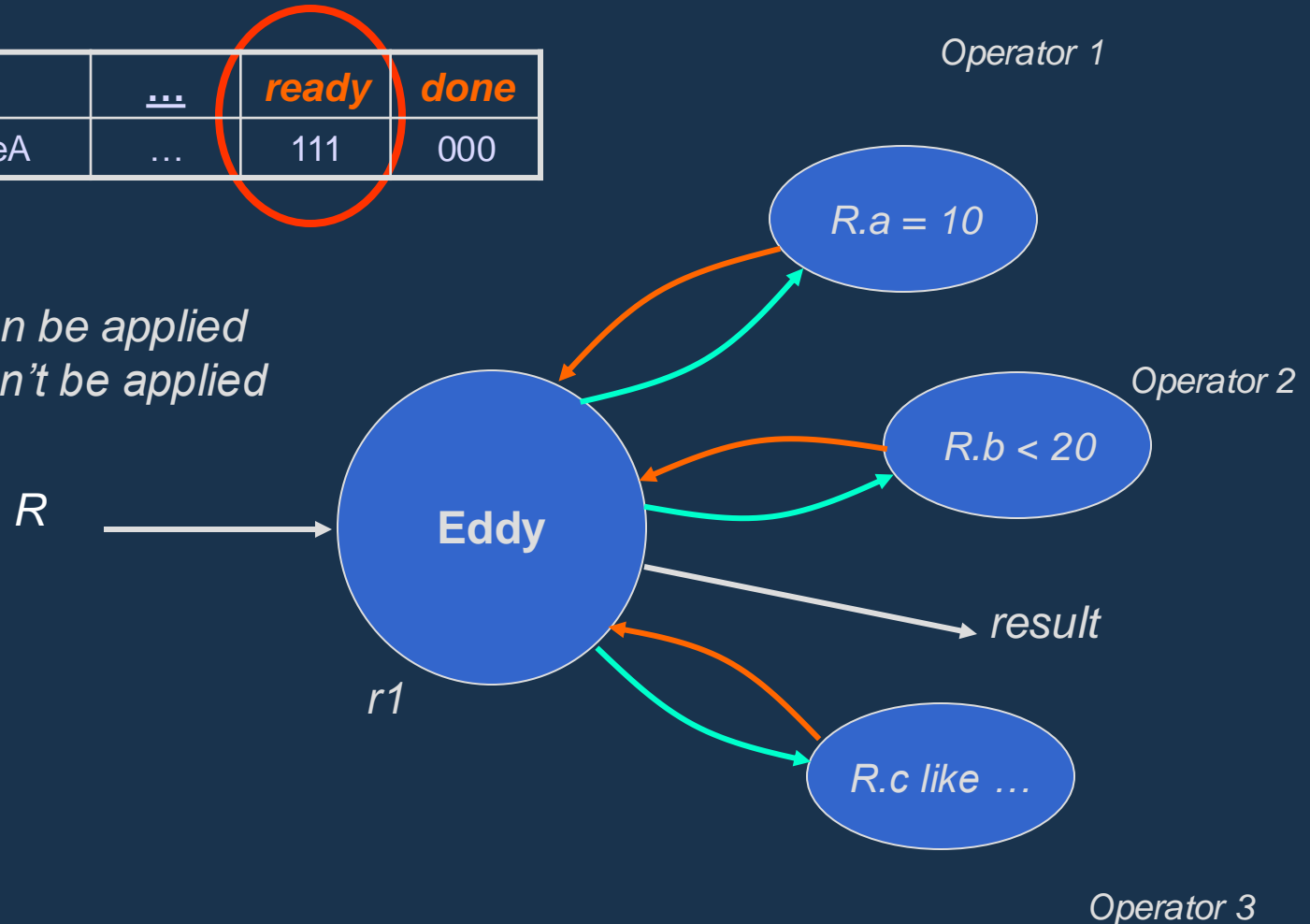
An  $R$  Tuple:  $r1$

<u>a</u>	<u>b</u>	<u>c</u>	...	<i>ready</i>	<i>done</i>
15	10	AnameA	...	111	000

*ready bit i :*

1  $\rightarrow$  operator  $i$  can be applied

0  $\rightarrow$  operator  $i$  can't be applied



# Eddies [AH'00]

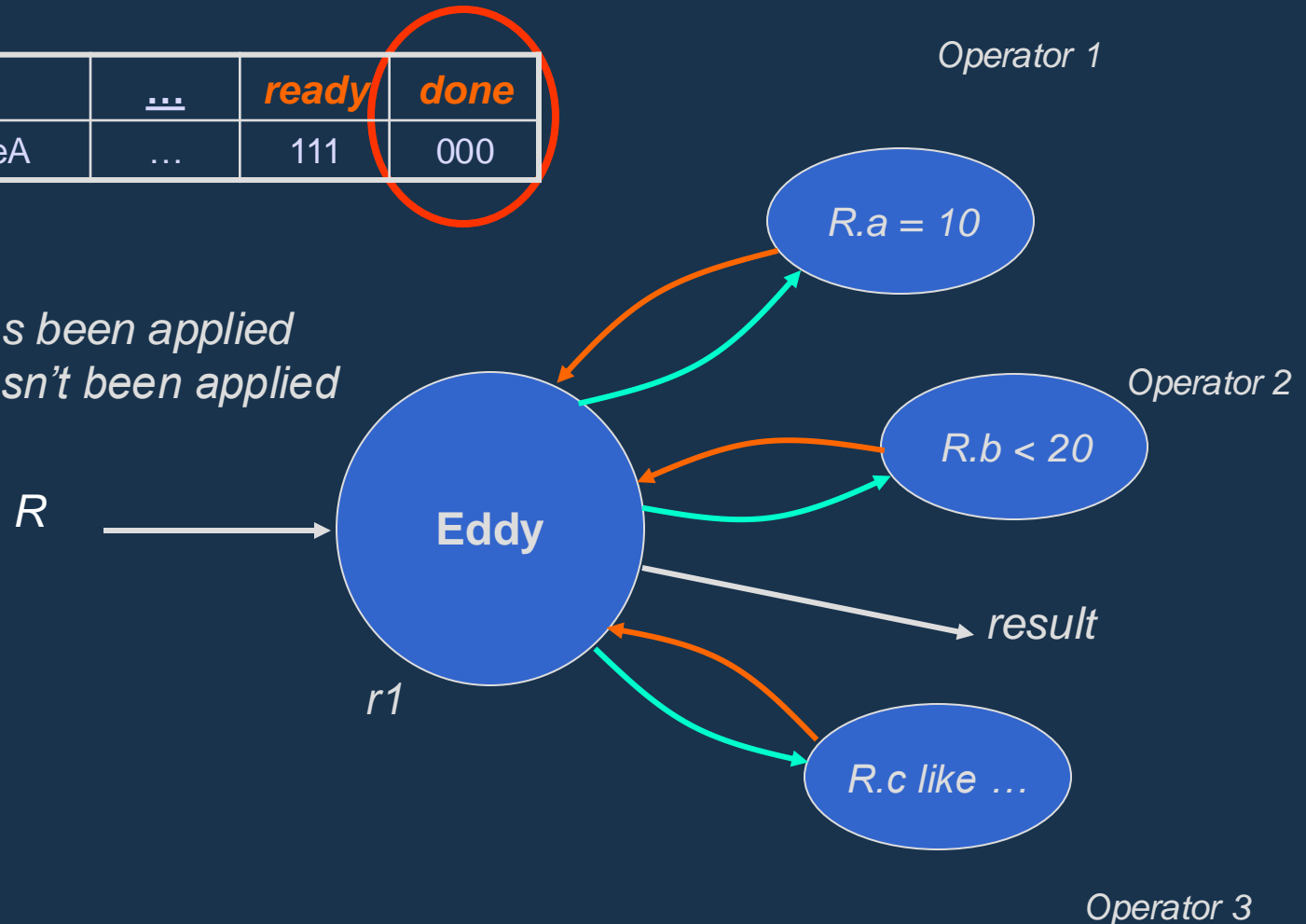
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<u>a</u>	<u>b</u>	<u>c</u>	...	<i>ready</i>	<i>done</i>
15	10	AnameA	...	111	000

*done* bit  $i$  :

1  $\rightarrow$  operator  $i$  has been applied

0  $\rightarrow$  operator  $i$  hasn't been applied



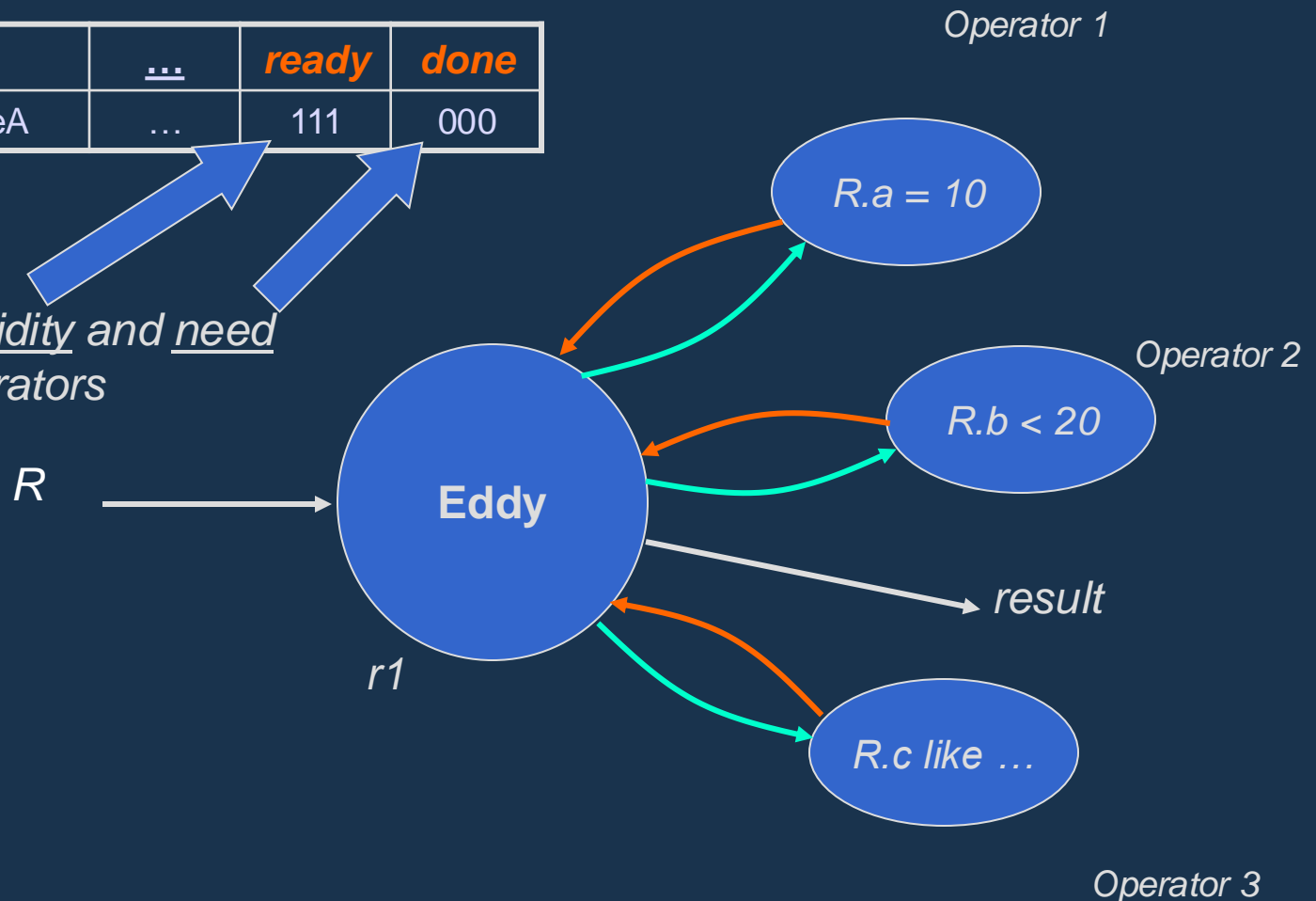


# Eddies [AH'00]

An R Tuple:  $r_1$

<u>a</u>	<u>b</u>	<u>c</u>	...	<i>ready</i>	<i>done</i>
15	10	AnameA	...	111	000

Used to decide validity and need of applying operators

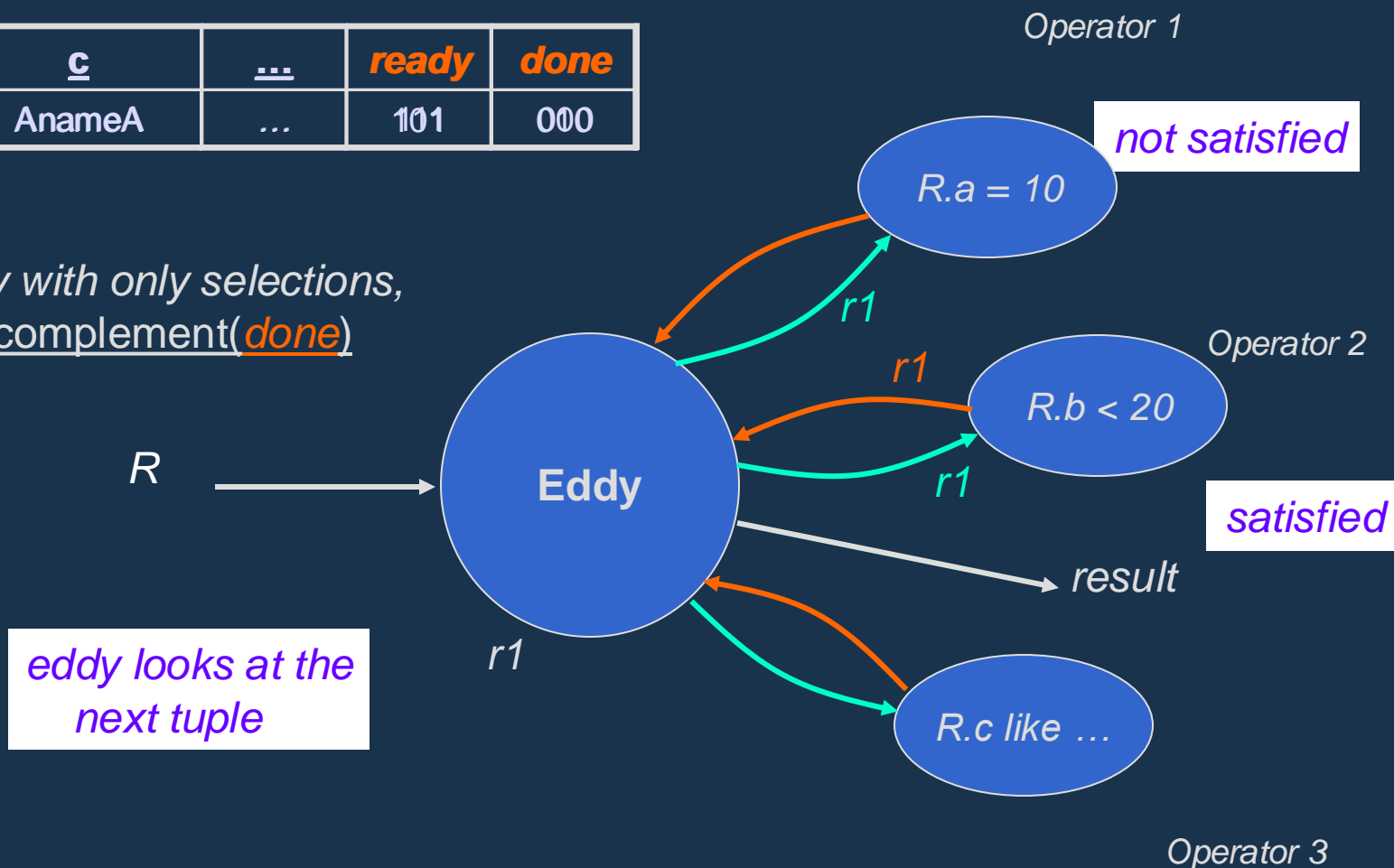


# Eddies [AH'00]

An  $R$  Tuple:  $r1$

<u>a</u>	<u>b</u>	<u>c</u>	...	<i>ready</i>	<i>done</i>
15	10	AnameA	...	101	000

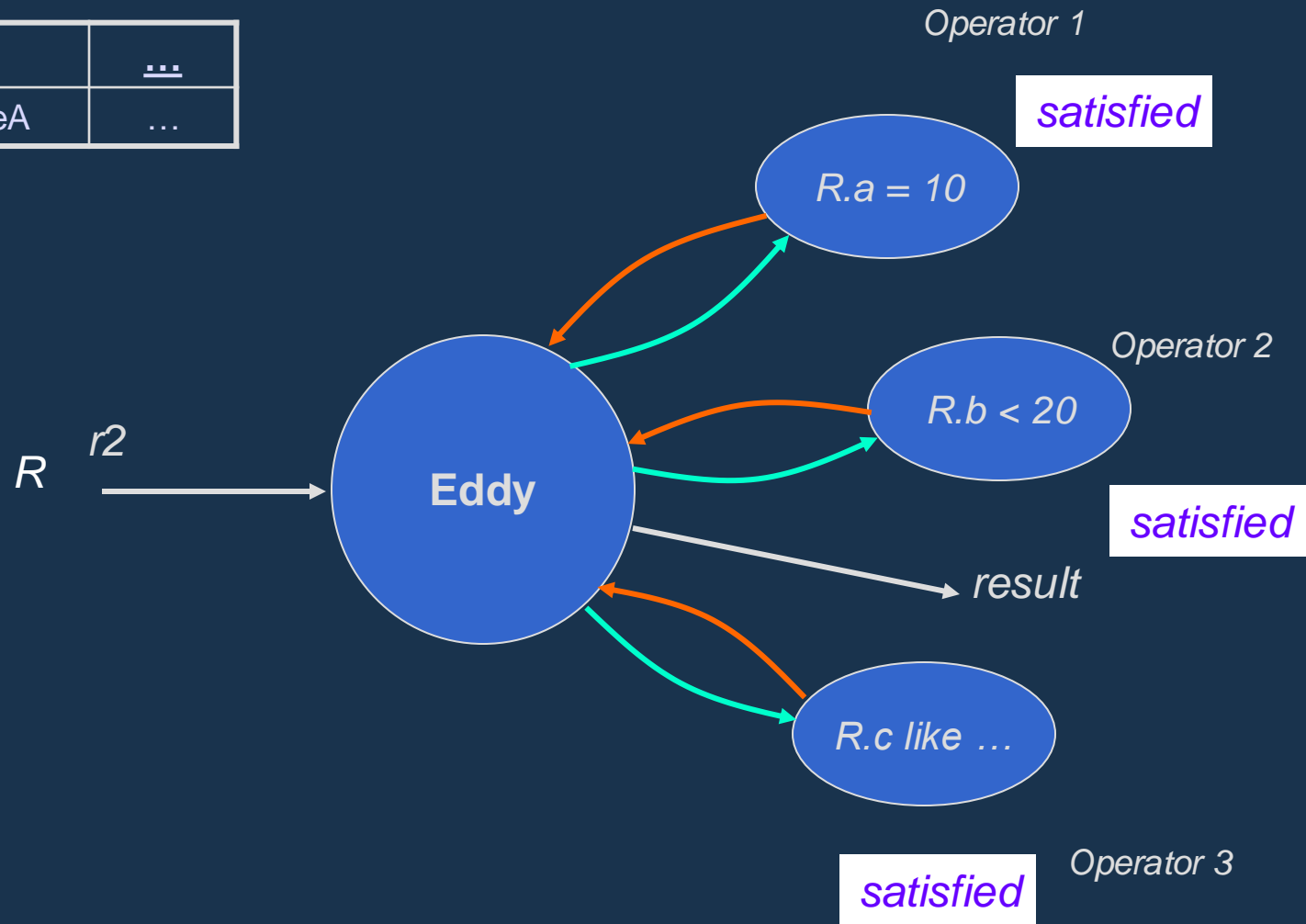
For a query with only selections,  
*ready* = complement(*done*)



# Eddies [AH'00]

An  $R$  Tuple:  $r_2$

<u>a</u>	<u>b</u>	<u>c</u>	...
10	15	AnameA	...

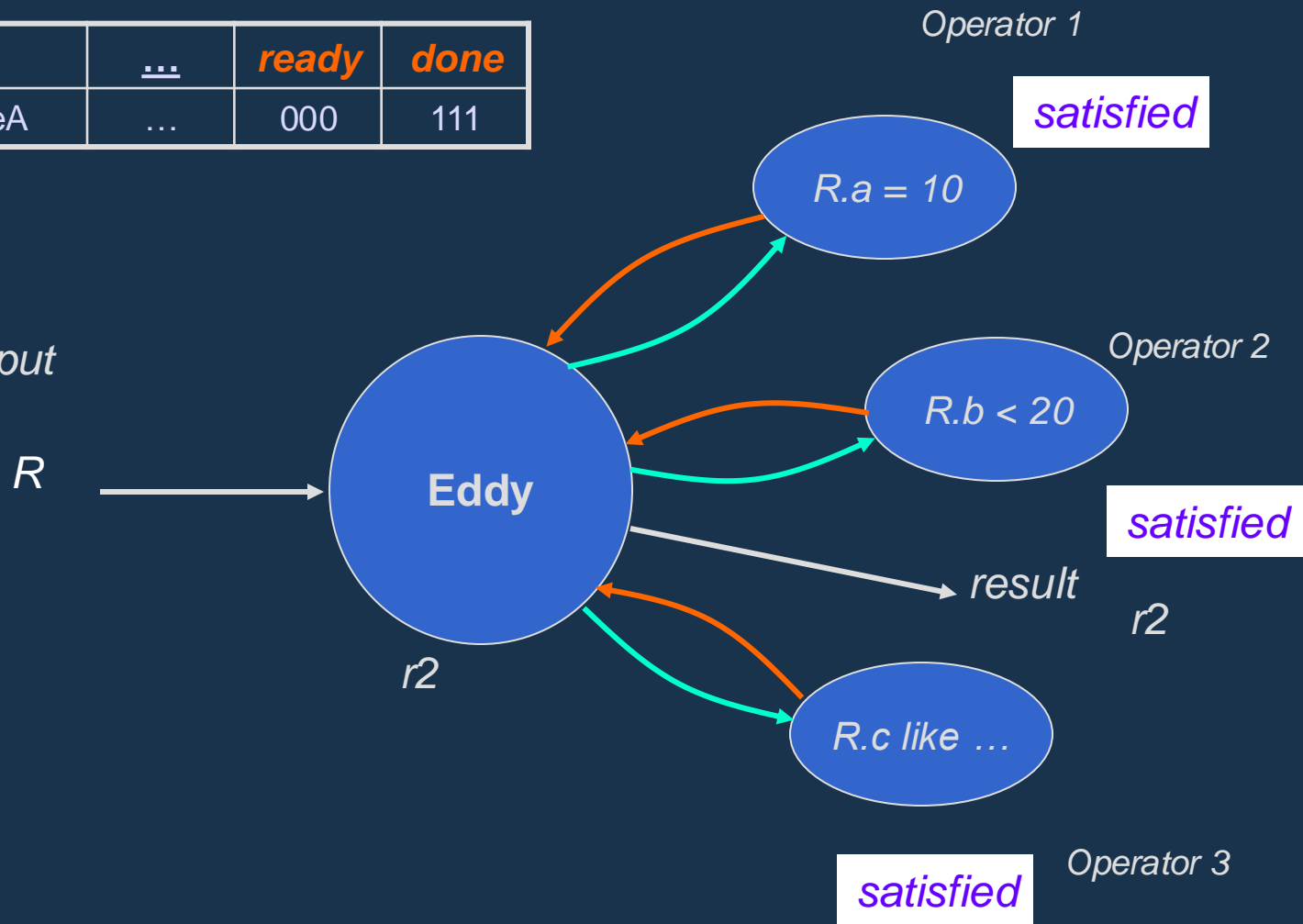


# Eddies [AH'00]

An R Tuple:  $r_2$

<u>a</u>	<u>b</u>	<u>c</u>	...	<i>ready</i>	<i>done</i>
10	15	AnameA	...	000	111

if *done* = 111,  
send to output



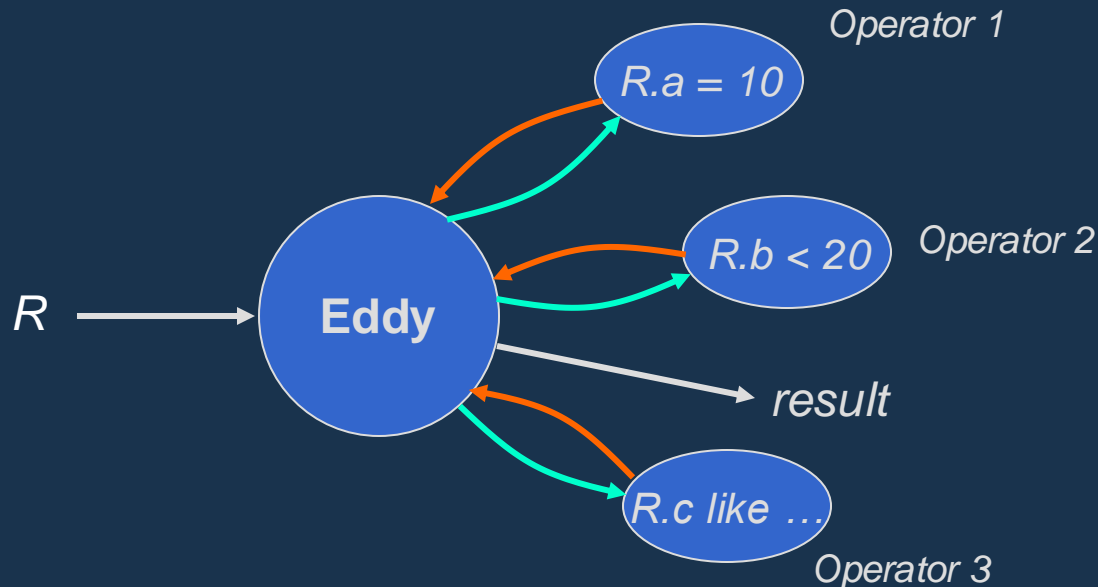
# Eddies [AH'00]

## Adapting order is easy

- Just change the operators to which tuples are sent
- Can be done on a per-tuple basis
- Can be done in the middle of tuple's "pipeline"

How are the *routing decisions* made?

Using a *routing policy*



# Routing Policies that Have Been Studied

## Deterministic [D03]

- Monitor costs & selectivities continuously
- Re-optimize periodically using rank ordering (or A-Greedy for correlated predicates)

## Lottery scheduling [AH00]

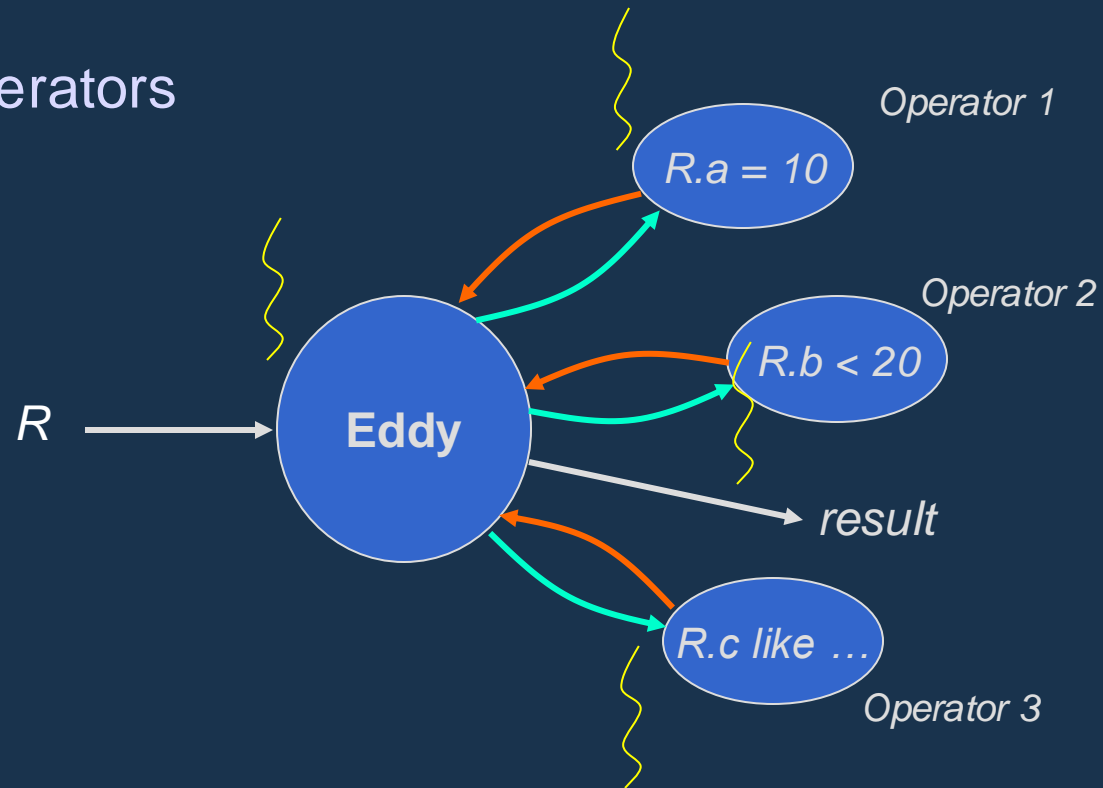
- Each operator runs in thread with an input queue
- “Tickets” assigned according to tuples input / output
- Route tuple to next eligible operator with room in queue, based on number of “tickets” and “backpressure”

## Content-based routing [BBDW05]

- Different routes for different plans based on attribute values

# Routing Policy 3: Lottery Scheduling

- Originally suggested routing policy [AH'00]
- Applicable only if each operator runs in a separate thread
- Uses two easily obtainable pieces of information for making routing decisions:
  - *Busy/idle status* of operators
  - *Tickets per operator*



# Routing Policy 3: Lottery Scheduling

- Routing decisions based on busy/idle status of operators

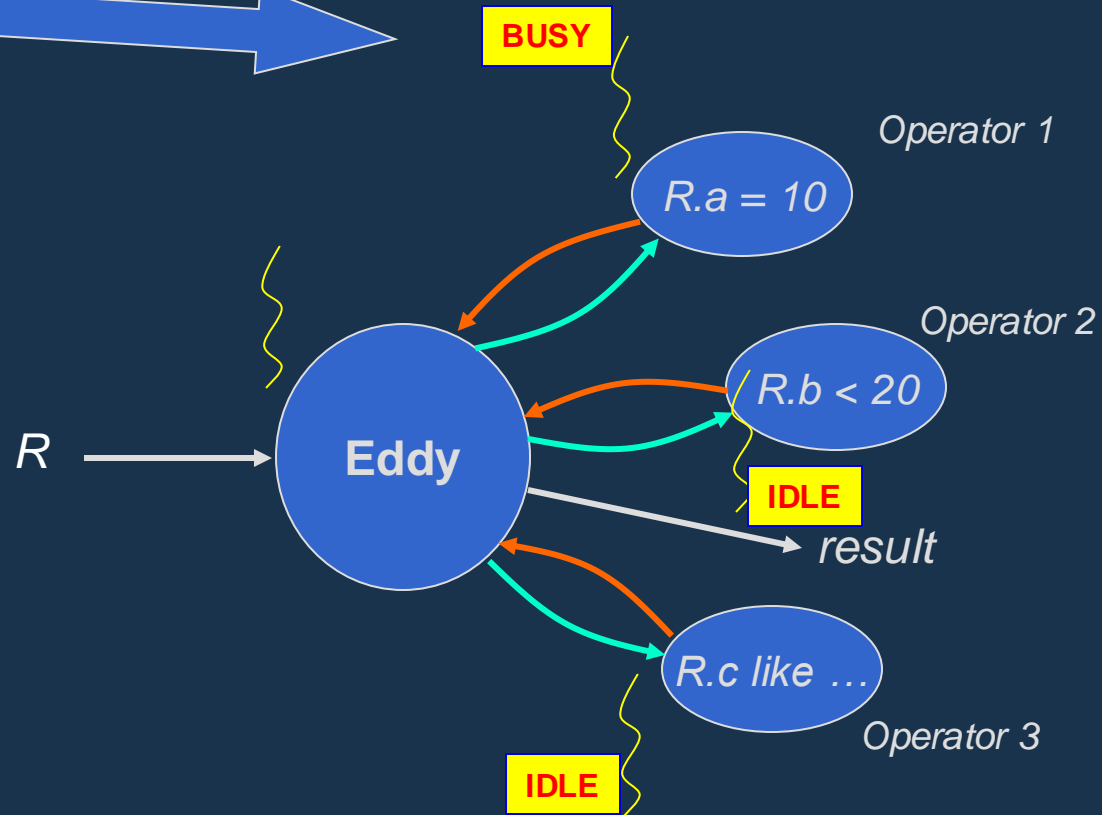
Rule:

IF operator busy,  
THEN do not route more  
tuples to it



Rationale:

Every thread gets equal time  
SO IF an operator is busy,  
THEN its cost is perhaps very  
high





# Routing Policy 3: Lottery Scheduling

- Routing decisions based on tickets

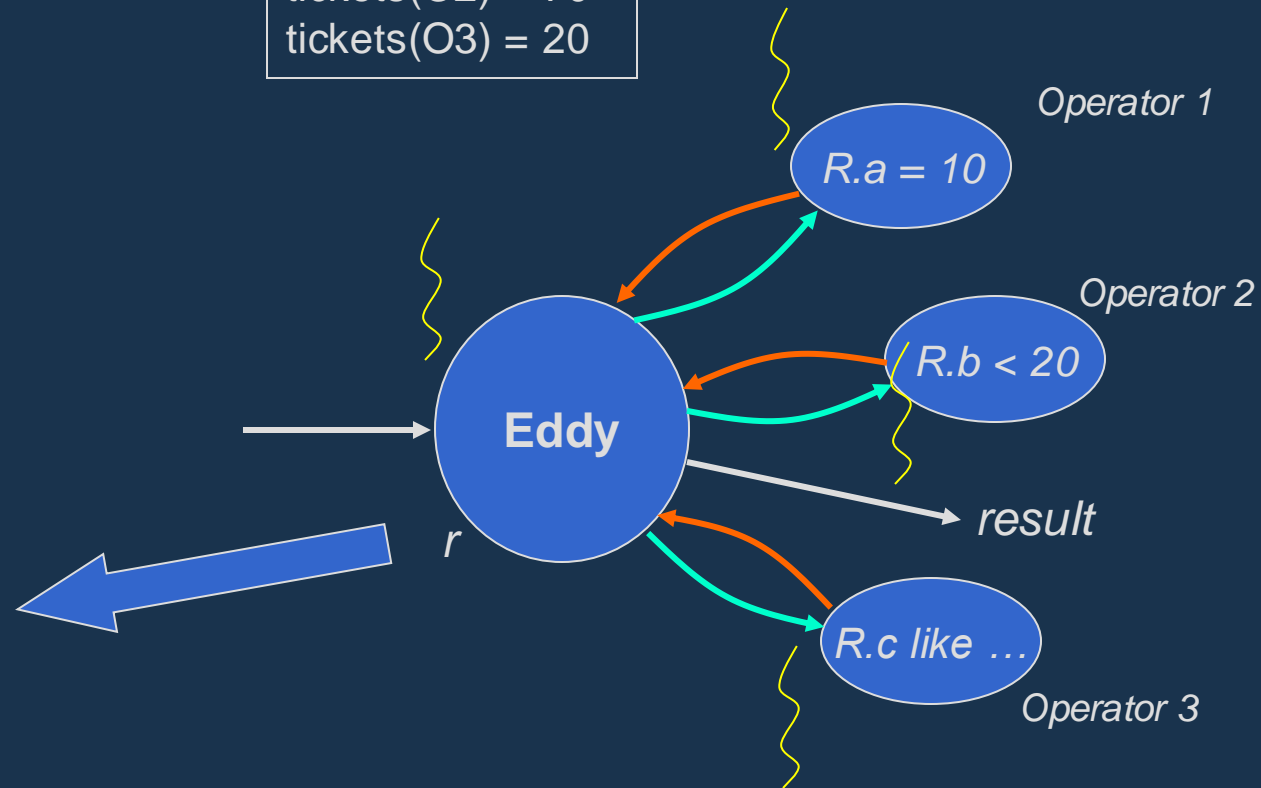
## Rules:

- Route a new tuple randomly weighted according to the number of tickets

```
tickets(O1) = 10  
tickets(O2) = 70  
tickets(O3) = 20
```

Will be routed to:

O1	w.p.	0.1
O2	w.p.	0.7
O3	w.p.	0.2

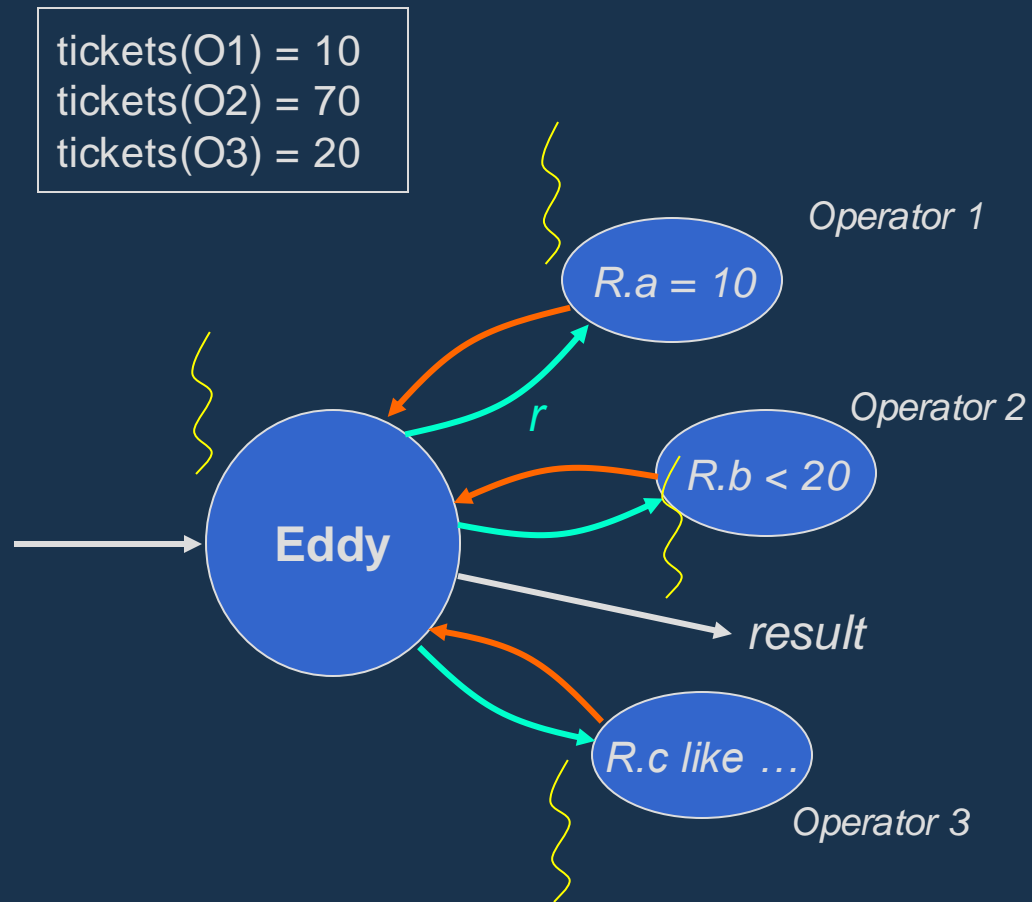


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- Routing decisions based on tickets

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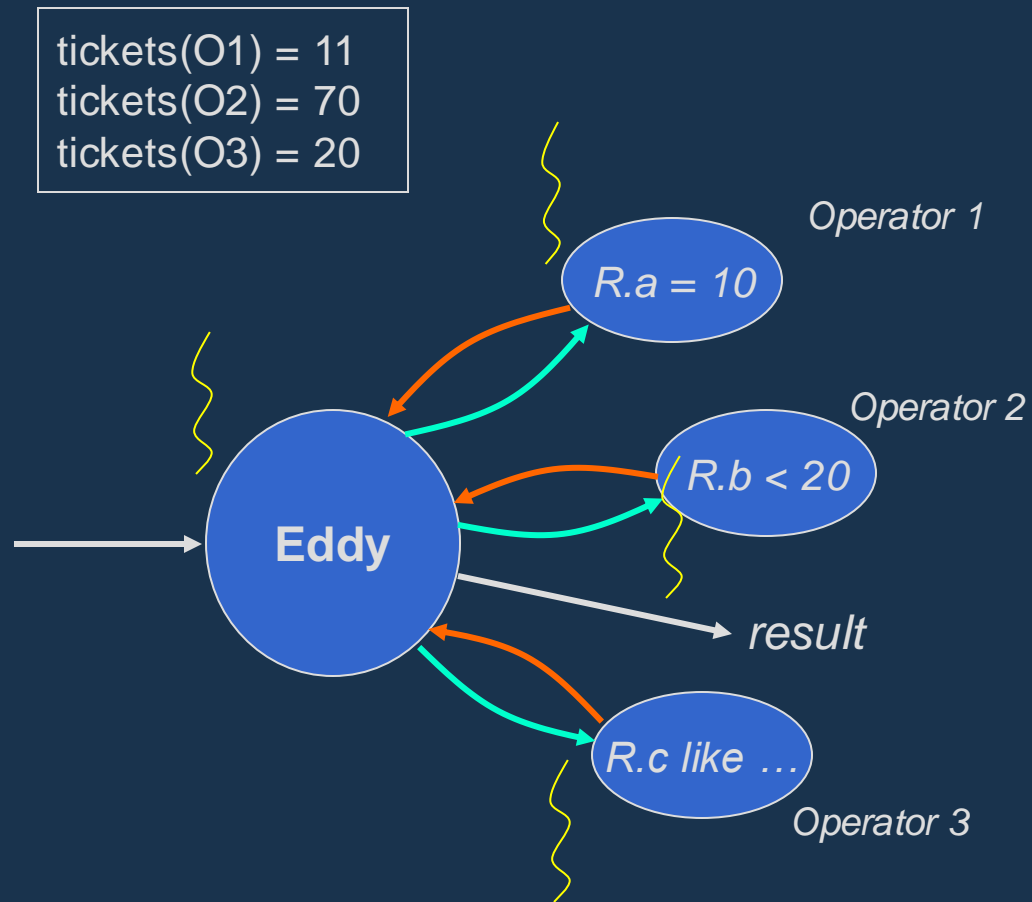


# Routing Policy 3: Lottery Scheduling

- Routing decisions based on tickets

## Rules:

- Route a new tuple randomly weighted according to the number of tickets
- route a tuple to an operator  $O_i$ ;  $tickets(O_i) ++$ ;

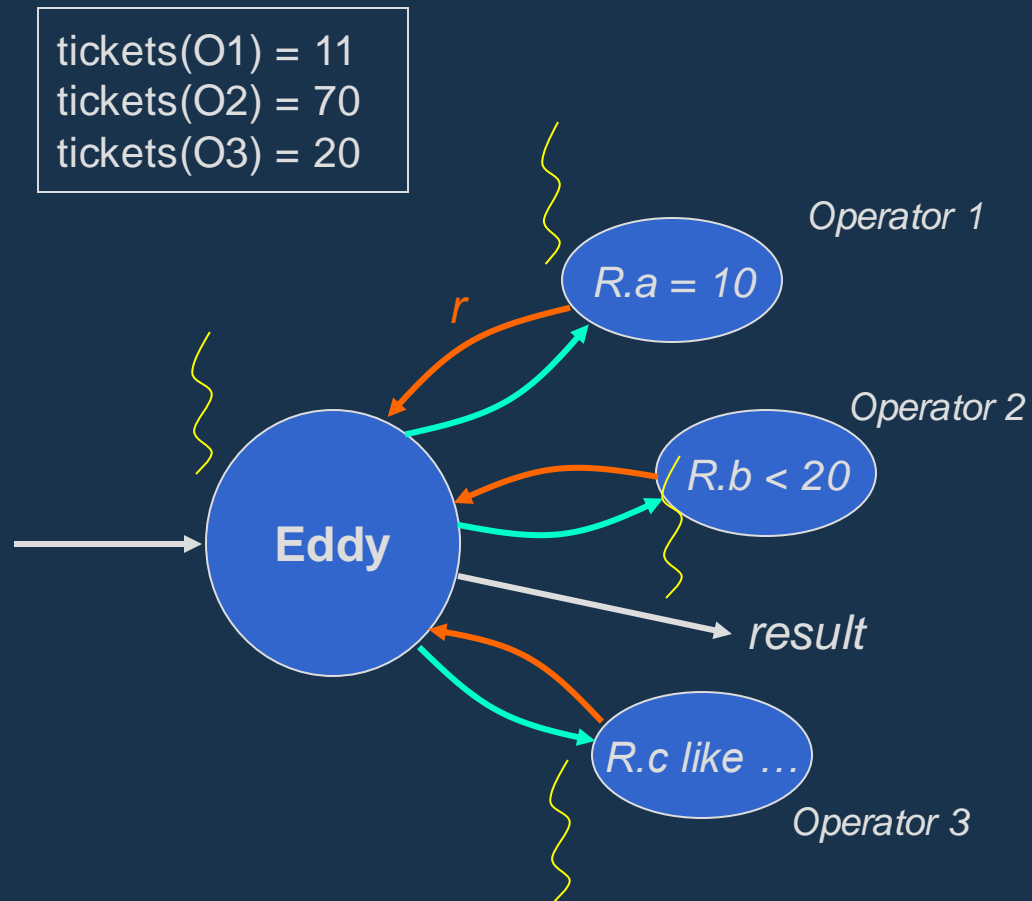


# Routing Policy 3: Lottery Scheduling

- Routing decisions based on tickets

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- Route a new tuple randomly weighted according to the number of tickets
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 $tickets(O_i) ++$ ;
- $O_i$  returns a tuple to eddy  
 $tickets(O_i) --$ ;



# Routing Policy 3: Lottery Scheduling

- Routing decisions based on tickets

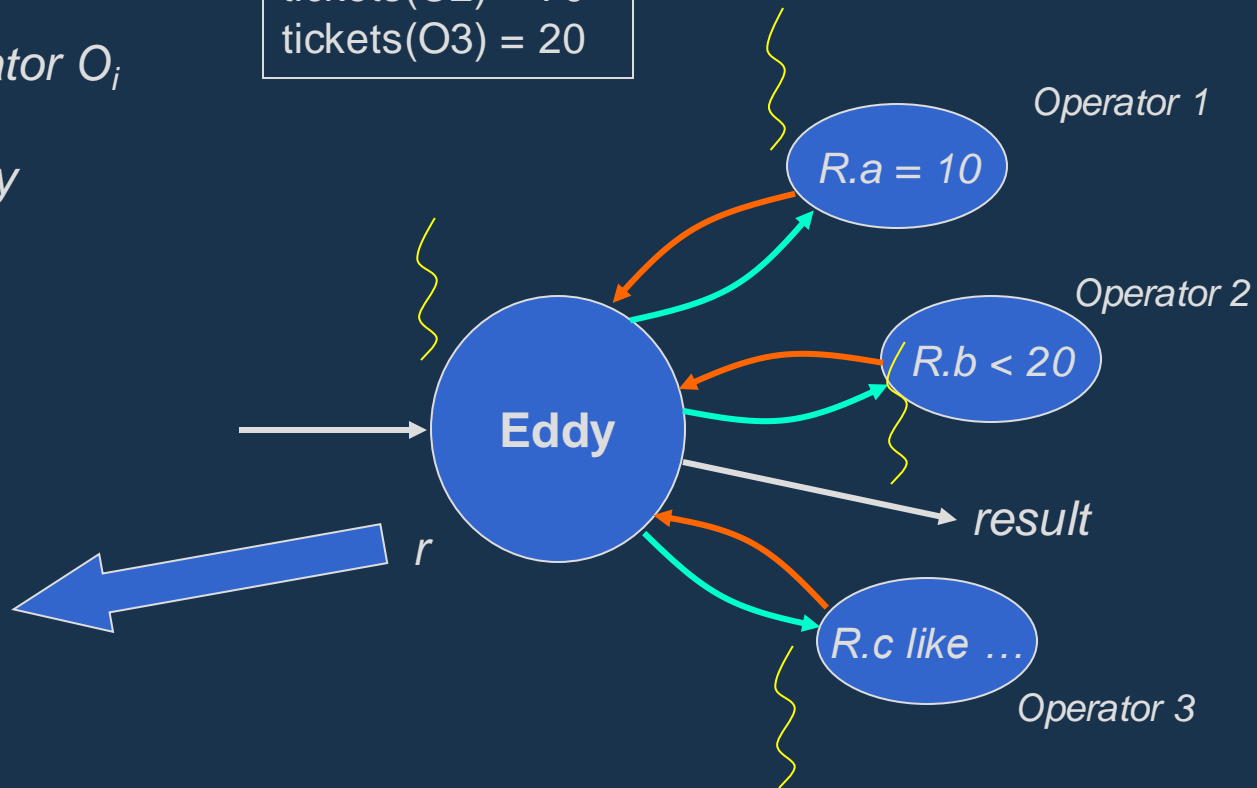
## Rules:

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 $tickets(O_i) --$ ;

```
tickets(O1) = 10  
tickets(O2) = 70  
tickets(O3) = 20
```

Will be routed to:

O2 w.p. 0.777  
O3 w.p. 0.222



# Routing Policy 3: Lottery Scheduling

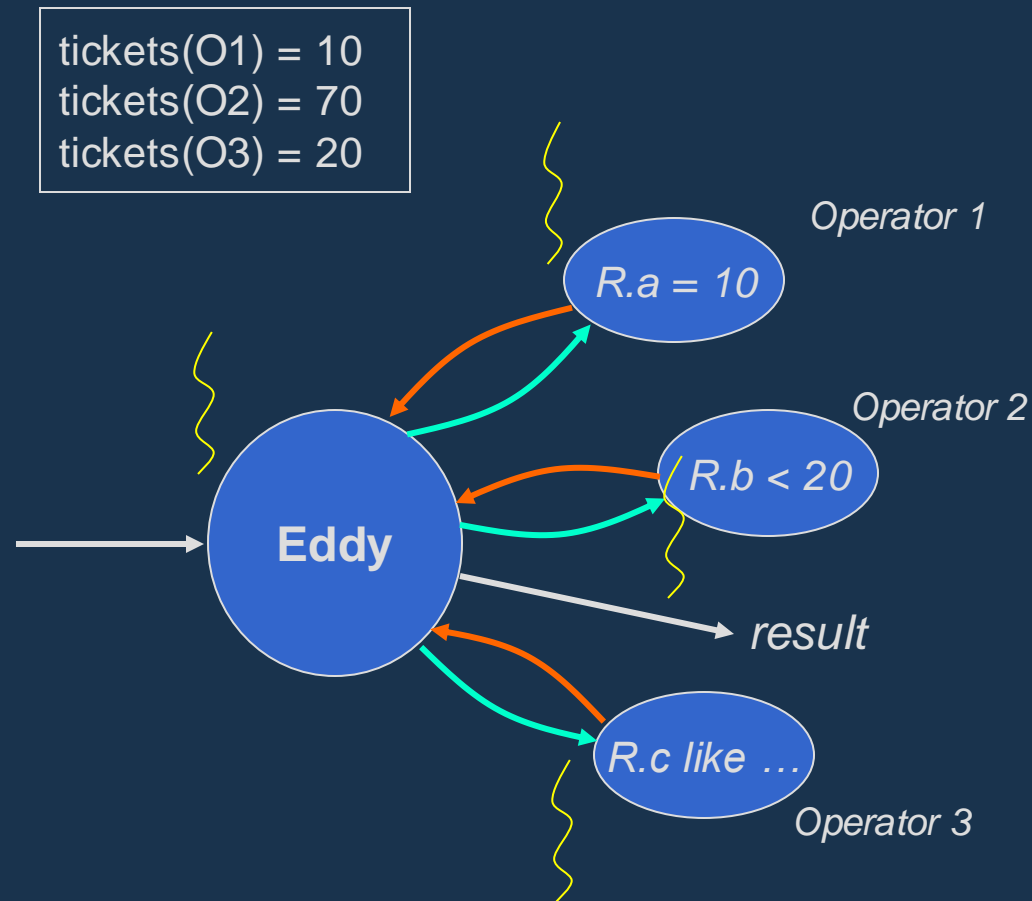
- Routing decisions based on tickets

## Rules:

- Route a new tuple randomly weighted according to the number of tickets
- route a tuple to an operator  $O_i$   
 $tickets(O_i) ++$ ;
- $O_i$  returns a tuple to eddy  
 $tickets(O_i) --$ ;

## Rationale:

$Tickets(O_i)$  roughly corresponds to  $(1 - selectivity(O_i))$   
So more tuples are routed to highly selective operators

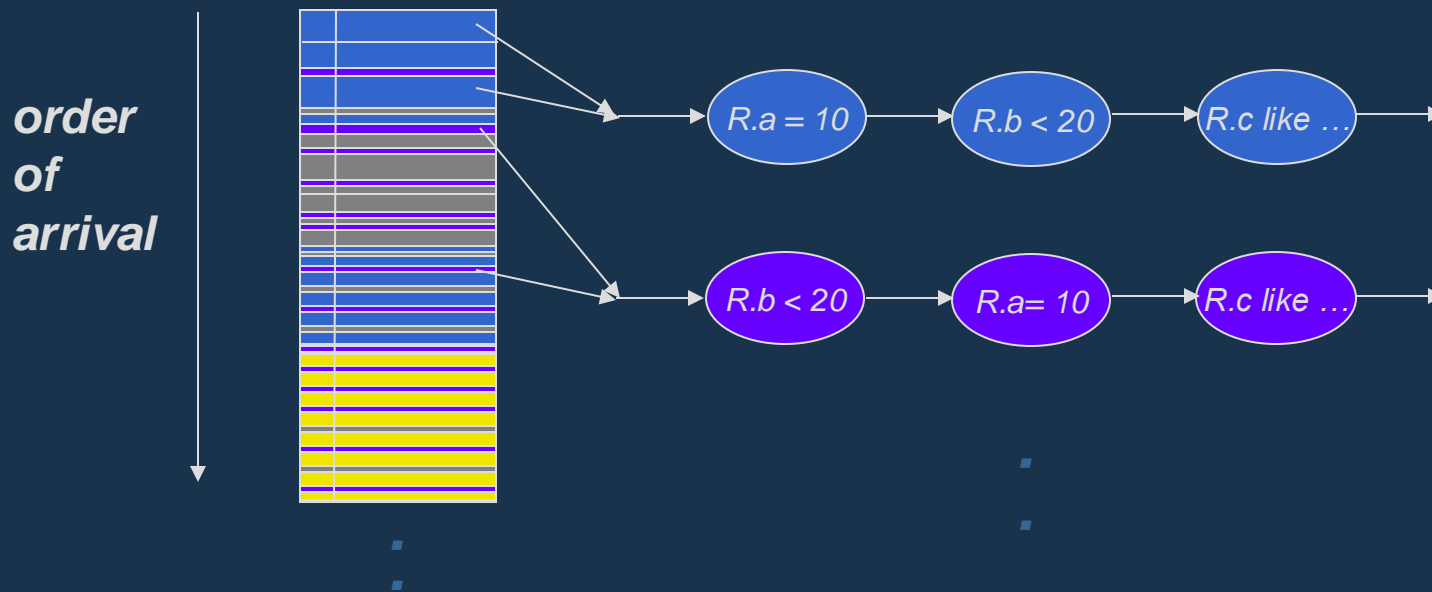


# Routing Policy 3: Lottery Scheduling

- Effect of the combined lottery scheduling policy:
  - Low cost operators get more tuples
  - Highly selective operators get more tuples
  - Some tuples are knowingly routed according to sub-optimal orders
    - To *explore*
    - Necessary to detect selectivity changes over time

# Eddies: Post-Mortem

- Plan Space explored
  - Allows arbitrary “horizontal partitioning”
  - Not necessarily correlated with order of arrival



In a later paper, we looked at optimizing for horizontal partitioning directly

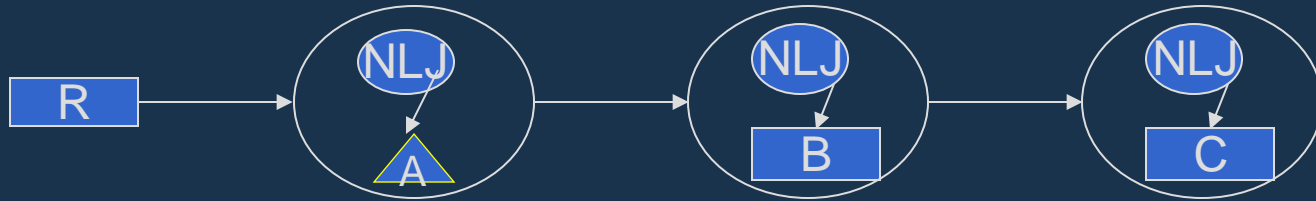


# Pipelined Execution Part II: Adaptive Join Processing

# Adaptive Join Processing: Outline

- Single streaming relation
  - Left-deep pipelined plans
- Multiple streaming relations
  - Execution strategies for multi-way joins
  - History-independent execution
  - History-dependent execution

# Left-Deep Pipelined Plans



Simplest method of joining tables

- Pick a *driver* table (R). Call the rest *driven* tables
- Pick access methods (AMs) on the driven tables (*scan, hash, or index*)
- Order the driven tables
- Flow R tuples through the driven tables

For each  $r \in R$  do:

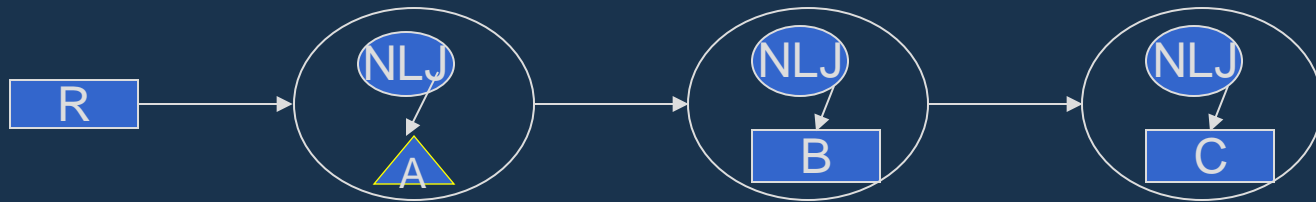
look for matches for  $r$  in A;

for each match  $a$  do:

look for matches for  $\langle r, a \rangle$  in B;

...

# Adapting a Left-deep Pipelined Plan



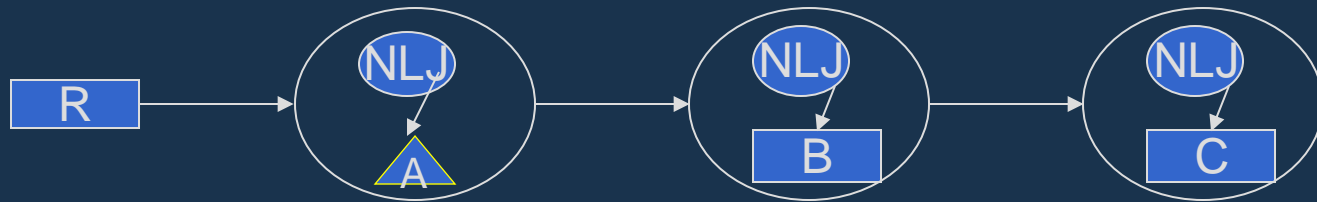
Simplest method of joining tables

- Pick a *driver* table (R). Call the rest *driven* tables
- Pick access methods (AMs) on the driven tables
- Order the driven tables
- Flow R tuples through the driven tables

*Almost identical  
to selection  
ordering*

```
For each  $r \in R$  do:  
  look for matches for  $r$  in A;  
  for each match  $a$  do:  
    look for matches for  $\langle r, a \rangle$  in B;  
    ...
```

# Adapting a Left-deep Pipelined Plan



Key issue: Duplicates

Adapting the choice of driver table

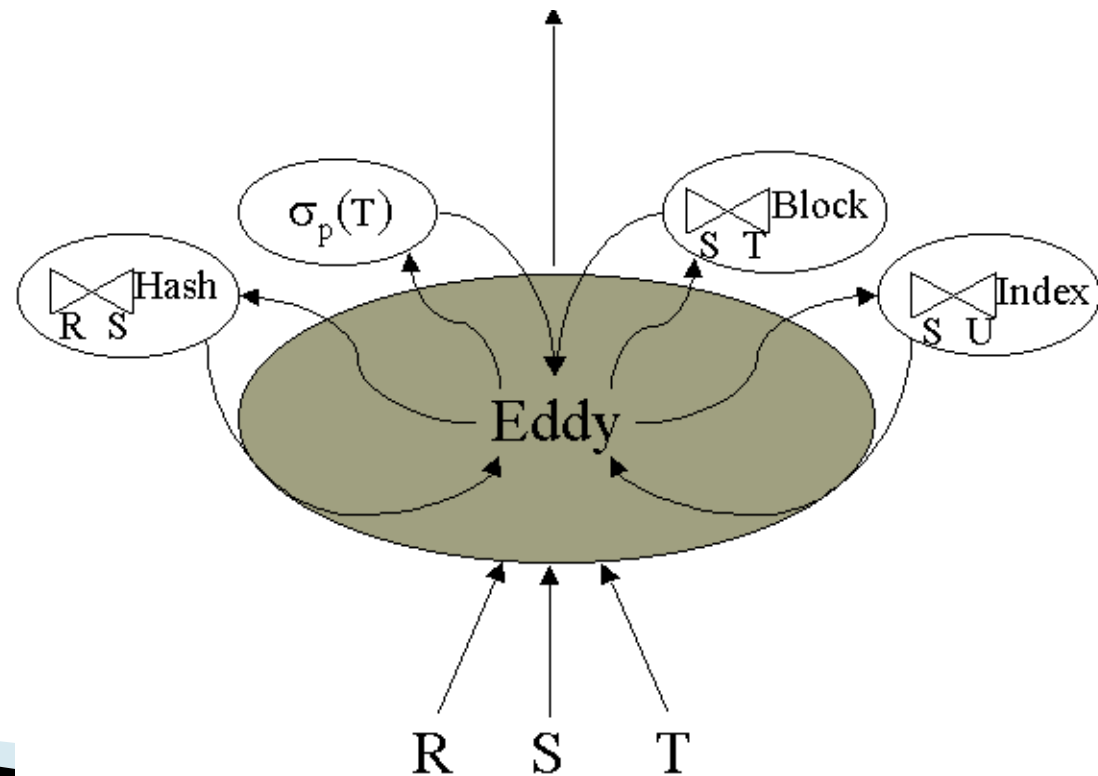
[L+07] Carefully use indexes to achieve this

Adapting the choice of access methods

- Static optimization: explore all possibilities and pick best
- Adaptive: Run multiple plans in parallel for a while, and then pick one and discard the rest [Antoshenkov' 96]
  - Cannot easily explore combinatorial options

# Overview

- ▶ Continuously “reorder” operators as the query is executing
  - By changing the “order” in which tuples visit operators
  - Obviate the need for selectivity estimation and optimization entirely
  - Naturally handles situations where the selectivities change over time (for long-running queries)

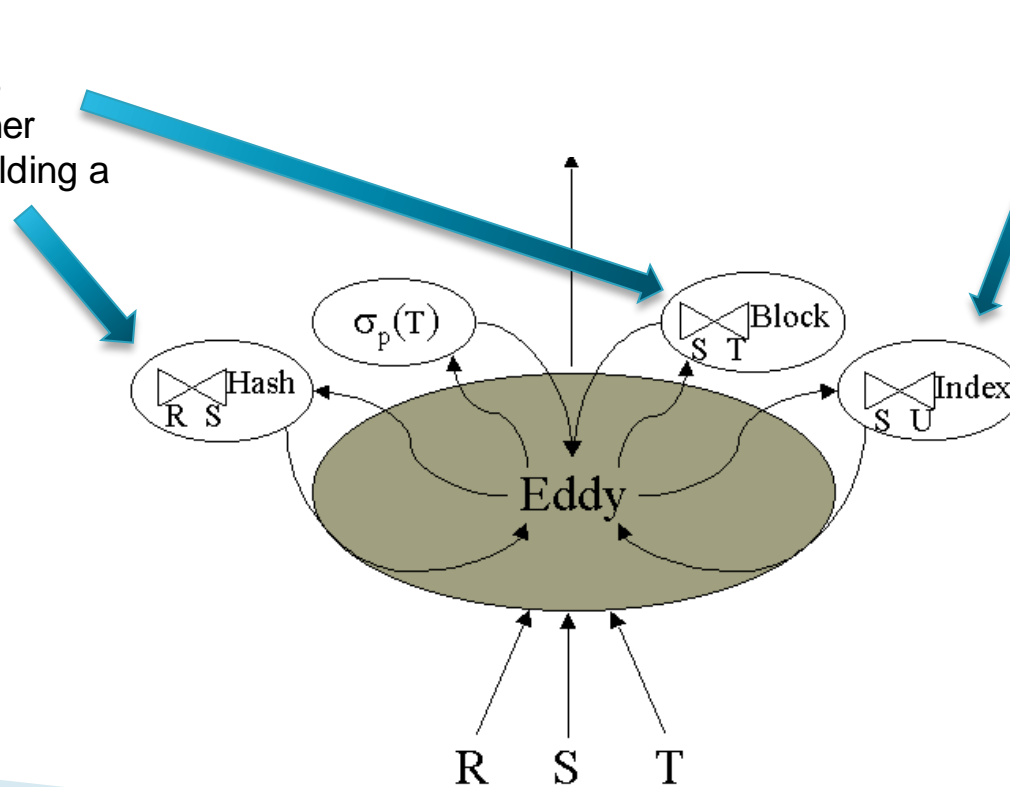


# Eddies and Joins

- ▶ Selections are arbitrarily reorderable
- ▶ What about joins?

- An index lookup can be treated as a “selection”
- Send an S tuple, get back augmented tuples
- Note: decision to use the index cannot be “adapted”

- These two are tricky
- Nested loops requires iterating over all of inner
- Hash join requires building a hash table on inner



# Reorderability of Plans

## ▶ Synchronization Barriers

- Many operators explicitly enforce an order in which tuples must be read from the inputs
- e.g., Sort-merge joins: at most points, the next tuple to read must be read from a specific input
- Hash joins: need to read all of "inner" before outer tuples can be read

## ▶ Moments of Symmetry

- Sort-merge join is symmetric
- But Nested-loops is not
  - However, can change the outer/inner at specific points

## ▶ Join operators with more moments of symmetric preferred

- e.g., Symmetric Hash Join Operator



# Reorderability of Plans

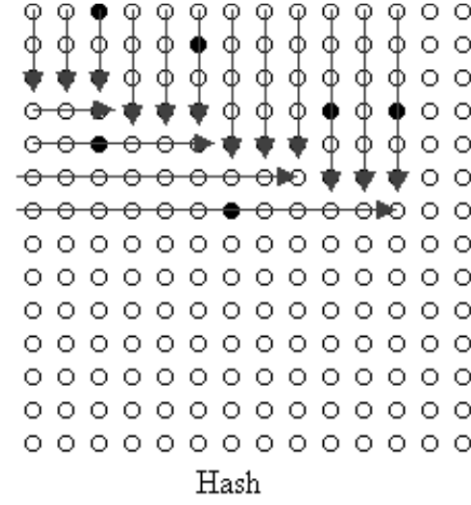
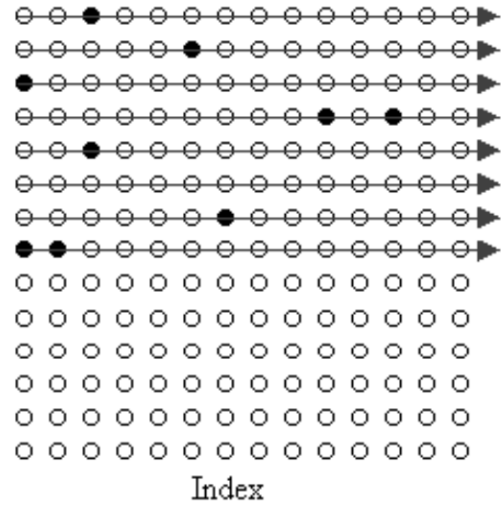
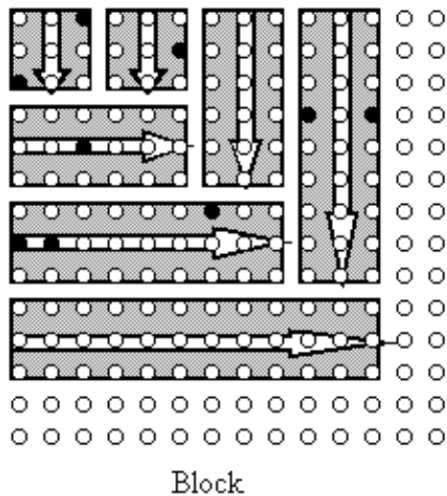


Figure 3: Tuples generated by block, index, and hash ripple join. In block ripple, all tuples are generated by the join, but some may be eliminated by the join predicate. The arrows for index and hash ripple join represent the *logical* portion of the cross-product space checked so far; these joins only expend work on tuples satisfying the join predicate (black dots). In the hash ripple diagram, one relation arrives  $3 \times$  faster than the other.

# Eddies

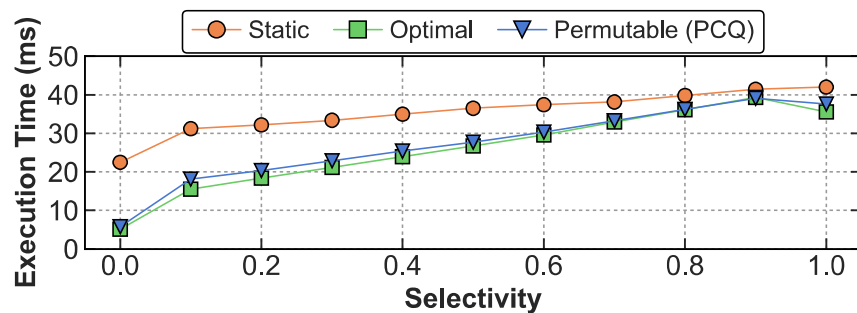
- ▶ Implemented in the context of River project
- ▶ Eddy is a separate module that talks to all other operators
  - Uses “ready” and “done” bitsets to direct traffic
- ▶ Lottery scheduling-based routing policy
  - Promising initial results, but bunch of caveats

# Outline

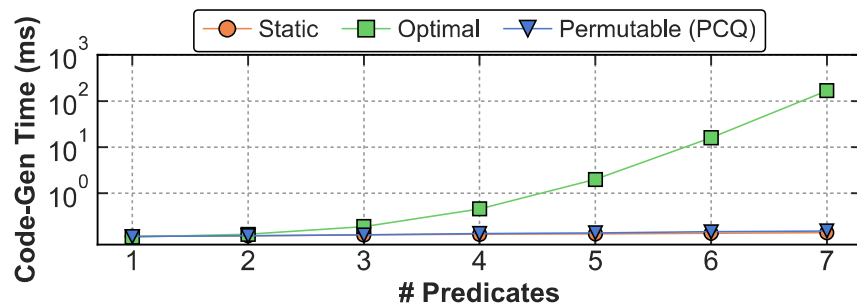
- ▶ Query evaluation techniques for large databases, Skew Avoidance, Query compilation/vectorization
- ▶ Query Optimization: Overview, How good are the query optimizers, really?, Reordering for Outerjoins, Query Rewriting
- ▶ Adaptive Query Processing
  - Eddies
  - Progressive Query Optimization
  - **Compilation and adaptivity**

# Motivation

- ▶ Adaptive query processing (POP-style) works well with interpretable query plans, but not as well with compilation
  - Compiling a new query plan too expensive



(a) Execution Time



(b) Code-Generation Time

Figure 1: Reoptimizing Compiled Queries – PCQ enables near-optimal execution through adaptivity with minimal compilation overhead.

# Permutable Compiled Queries (PCQ)

- ▶ Adaptive query processing (POP-style) works well with interpretable query plans, but not as well with compilation
  - Compiling a new query plan too expensive
- ▶ Instead:
  - Precompile a bunch of different plans at optimization time itself
  - Add indirections to the compiled code to make it easy to switch/permute operators
  - Add hooks for collecting runtime performance metrics
    - To be used to decide whether to switch

# Permutable Compiled Queries (PCQ)

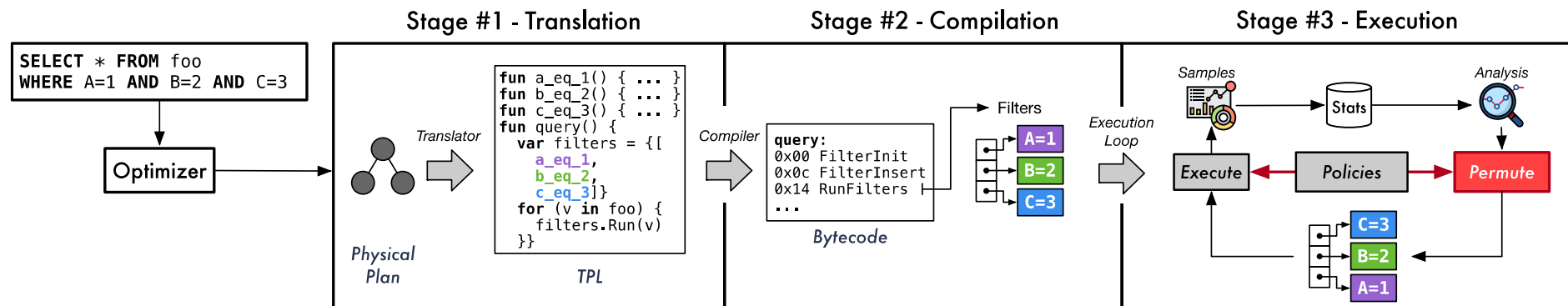


Figure 2: System Overview – The DBMS translates the SQL query into a DSL that contains indirection layers to enable permutability. Next, the system compiles the DSL into a compact bytecode representation. Lastly, an interpreter executes the bytecode. During execution, the DBMS collects statistics for each predicate, analyzes this information, and permutes the ordering to improve performance.

# Adaptive Filter Ordering

```
SELECT * FROM A WHERE col1 * 3 = col2 + col3 AND col4 < 44
```

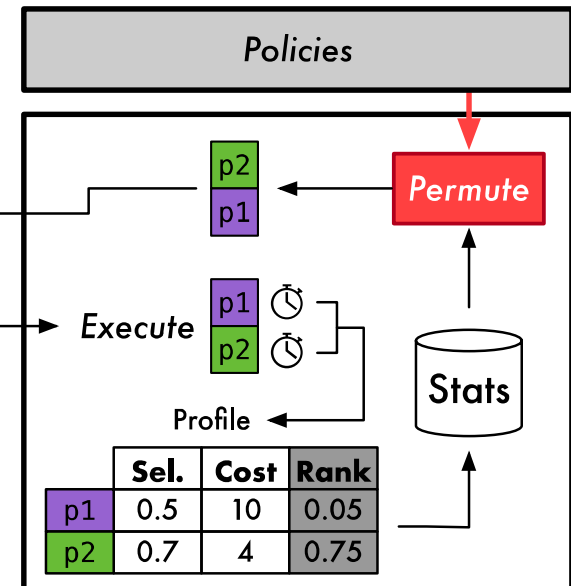
(a) Example Input SQL Query

```
1 fun query() {  
2   var filters={[p1,p2]}  
3   for (v in A) {  
4       
5   }}  
6  
7
```

```
6 fun p1(v:*Vec) {  
7   @selectLT(v.col4,44)}
```

```
8 fun p2(v:*Vec) {  
9   for (t in v) {  
10    if (t.col1*3 ==  
11      t.col2+t.col3){  
12      v[t]=true}}
```

Vectorization effect???  
The code suggests filters  
applied to all tuples, so no  
point in reordering



(b) Generated Code and Execution of Permutable Filter

Figure 3: Filter Reordering – The Translator converts the query in (a) into the TPL on the left side of (b). This program uses a data structure template with query-specific filter logic for each filter clause. The right side of (b) shows how the policy collects metrics and then permutes the ordering.

# Adaptive Aggregations

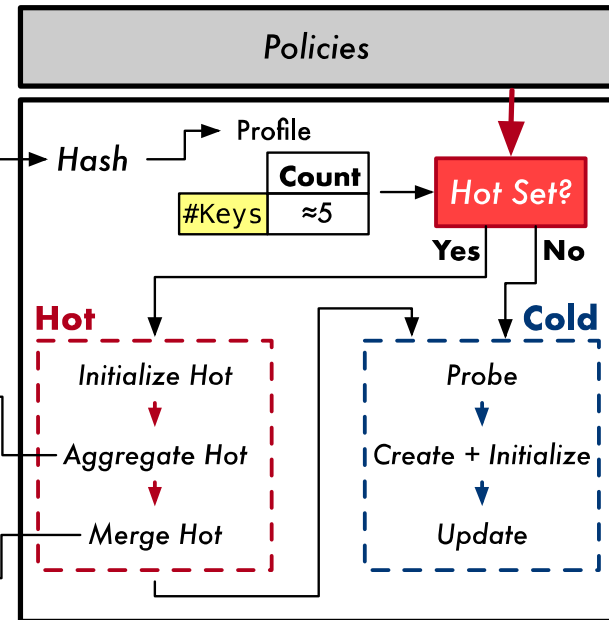
```
SELECT col1, COUNT(*) FROM A GROUP BY col1
```

(a) Example Input SQL Query

```
1 fun query() {  
2   var aggregator = {  
3     ..., // Normal funcs  
4     aggregateHot,  
5     aggregateMerge  
6   }  
7   for (v in foo) {  
8     ...  
9   }  
}
```

```
10 fun aggregateHot(  
11   v:*Vec, hot:[*]Agg){  
12   for(t in v) {  
13     if(t.col1==hot[0].col1){  
14       hot[0].c++  
15     }  
16   }  
}
```

```
17 fun aggregateMerge(  
18   hot:[*]Agg, ht:*HashTable){  
19   ht[hot[0].col1]=hot[0]  
20   ht[hot[1].col1]=hot[1]  
21 }
```



(b) Generated Code and Execution of Adaptive Aggregation

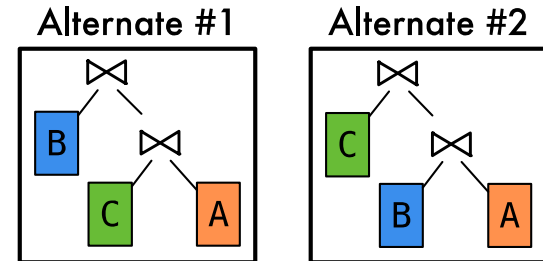
Figure 4: Adaptive Aggregations – The input query in (a) is translated into TPL on the left side of (b). The right side of (b) steps through one execution of PCQ aggregation.



# Adaptive Joins

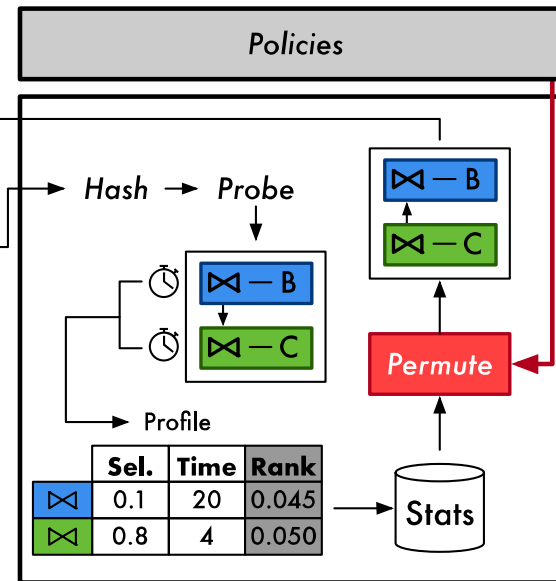
```
SELECT * FROM A
  INNER JOIN B ON A.col1 = B.col1
  INNER JOIN C ON A.col2 = C.col1
```

(a) Example Input SQL Query



(b) Possible Join Orderings

```
1 fun query() {
2   // HT on B, C built.
3   var joinExec = [{
4     {ht_B, joinB},
5     {ht_C, joinC}]
6   for (v in A) {
7     // ...
8   }}
9 fun joinB(
10  v:*Vec,m:[*]Entry){
11  for (t in v){
12    if (t.col1==m[t.col1]){
13      v[t]=true}}
13 fun joinC(
14  v:*Vec,m:[*]Entry) {
15  @gatherSelectEq(v.col2,
16  m,0)}
```



(c) Generated Code and Execution of Permutable Joins

Figure 5: Adaptive Joins – The DBMS translates the query in (a) to the program in (c). The right side of (c) illustrates one execution of a permutable join that includes a metric collection step.

# Experimental Evaluation

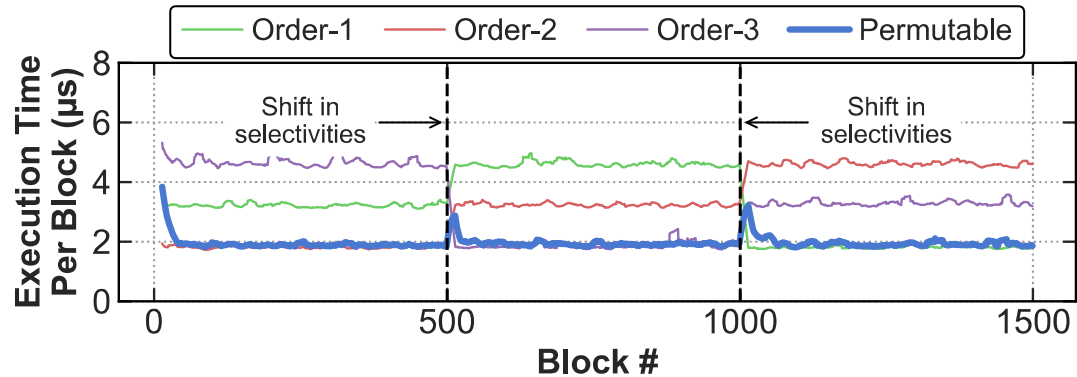


Figure 6: Performance Over Time – Execution time of three static filter orderings and our PCQ filter during a sequential table scan.

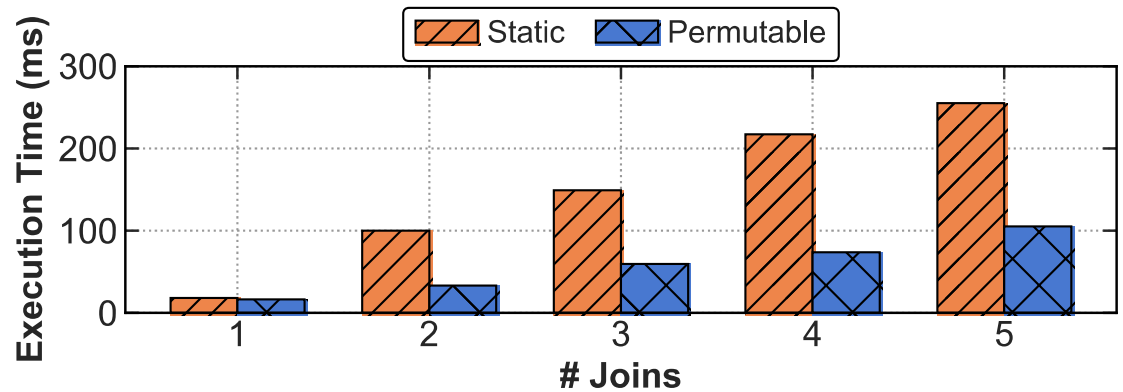




Figure 12: Varying Number of Joins – Execution time to perform a multi-step join while keeping the overall join selectivity at 10%.

# Recap/Thoughts

- ▶ Not much work on adaptive query processing in the last 10 years
    - SkinnerDB [2019] another relevant work
  - ▶ More work on adapting the execution of a single operator
    - e.g., changing things based on available resources
  - ▶ Likely to re-emerge as an important topic in the next few years
    - As QP in many systems becomes more mature...
    - As SQL starts becoming more and more common as the query language (e.g., in Spark, Pandas, etc).
- 

# Outline

- ▶ Query evaluation techniques for large databases, Skew Avoidance, Query compilation/vectorization
  - ▶ Query Optimization: Overview, How good are the query optimizers, really?, Reordering for Outerjoins, Query Rewriting
  - ▶ Adaptive Query Processing
  - ▶ **Worst-case Optimal Join Processing**
  - ▶ Froid: UDFs and Databases
- 

# Motivation

- ▶ Consider an “edges” relation with N edges, capturing an “undirected” graph,
- ▶ And a query to find the number of “triangles”

source	target
v1	v2
v2	v1
v1	v3
v3	v1
v2	v3
v3	v2

```
select count(*)/6
from edges e1, edges e2, edges e3
where e1.target = e2.source and
      e2.target = e3.source and
      e3.target = e1.source
```

Any “binary joins” plan will be “sub-optimal”

Worst case =  $O(N^2)$

However, output size bounded by  $O(N^{1.5})$

# Yannakakis Algorithm [1981]

$q() :- R(A, B), S(B, C), T(C, D)$

Boolean Conjunctive Query  
Answer is a True/False

A	B
a1	b1
a2	b1
a3	b1
a4	b1
a5	b1
a6	b1
...	...

B	C
b1	c1
b1	c2
b1	c3
...	...
b2	c0
b3	c0
...	...

C	D
c0	d1
c0	d2
c0	d3
c0	d4
c0	d5
c0	d6
...	...

1M tuples with B = b1

1M tuples with C = c0  
1M tuples with B = b1

1M tuples with C = c0

However: No results in the output

# Yannakakis Algorithm [1981]

$q() :- R(A, B), S(B, C), T(C, D)$

A	B	B	C	C	D
a1	b1	b1	c1	c0	d1
a2	b1	b1	c2	c0	d2
a3	b1	b1	c3	c0	d3
a4	b1	...	...	c0	d4
a5	b1	b2	c0	c0	d5
a6	b1	b3	c0	c0	d6
...	...	...	...	...	...

No Binary Join Tree Works

R JOIN S == generates 1 trillion tuples  
(none of which match T)

S JOIN T == generates 1T tuples

R JOIN T == cross product == 1T tuples

1M tuples with B = b1

1M tuples with C = c0

1M tuples with C = c0

1M tuples with B = b1

# Yannakakis Algorithm [1981]

$q() :- R(A, B), S(B, C), T(C, D)$

First, do S SEMIJOIN R

A	B
a1	b1
a2	b1
a3	b1
a4	b1
a5	b1
a6	b1
...	...

B	C
b1	c1
b1	c2
b1	c3
...	...
b2	c0
b3	c0
...	...

C	D
c0	d1
c0	d2
c0	d3
c0	d4
c0	d5
c0	d6
...	...

B	C
b1	c1
b1	c2
b1	c3
...	...

Removes tuples from S that don't contribute to the final output (e.g., (b2, c0) will never join with anything from R)

1M tuples with B = b1

1M tuples with C = c0

1M tuples with C = c0

1M tuples with B = b1



# Yannakakis Algorithm [1981]

$q() :- R(A, B), S(B, C), T(C, D)$

A	B
a1	b1
a2	b1
a3	b1
a4	b1
a5	b1
a6	b1
...	...

B	C
b1	c1
b1	c2
b1	c3
...	...
b2	c0
b3	c0
...	...

C	D
c0	d1
c0	d2
c0	d3
c0	d4
c0	d5
c0	d6
...	...

First, do S SEMIJOIN R

B	C
b1	c1
b1	c2
b1	c3
...	...

Then:  $X1 = T \text{ SEMIJOIN } (S \text{ SEMIJOIN } R)$

C	D
---	---

Then, do  $X2 = S \text{ SEMIJOIN } X1$

To further “reduce” S by removing tuples that don’t join with anything from T

1M tuples with B = b1

1M tuples with C = c0

1M tuples with C = c0  
1M tuples with B = b1

# Yannakakis Algorithm [1981]

$q() :- R(A, B), S(B, C), T(C, D)$

A	B
a1	b1
a2	b1
a3	b1
a4	b1
a5	b1
a6	b1
...	...

B	C
b1	c1
b1	c2
b1	c3
...	...
b2	c0
b3	c0
...	...

C	D
c0	d1
c0	d2
c0	d3
c0	d4
c0	d5
c0	d6
...	...

First, do  $S \text{ SEMIJOIN } R$

B	C
b1	c1
b1	c2
b1	c3
...	...

Then:  $X1 = T \text{ SEMIJOIN } (S \text{ SEMIJOIN } R)$

C	D
---	---

Then, do  $X2 = S \text{ SEMIJOIN } X1$

Finally, do  $X3 = R \text{ SEMIJOIN } X2$

1M tuples with  $B = b1$

1M tuples with  $C = c0$

1M tuples with  $C = c0$   
1M tuples with  $B = b1$

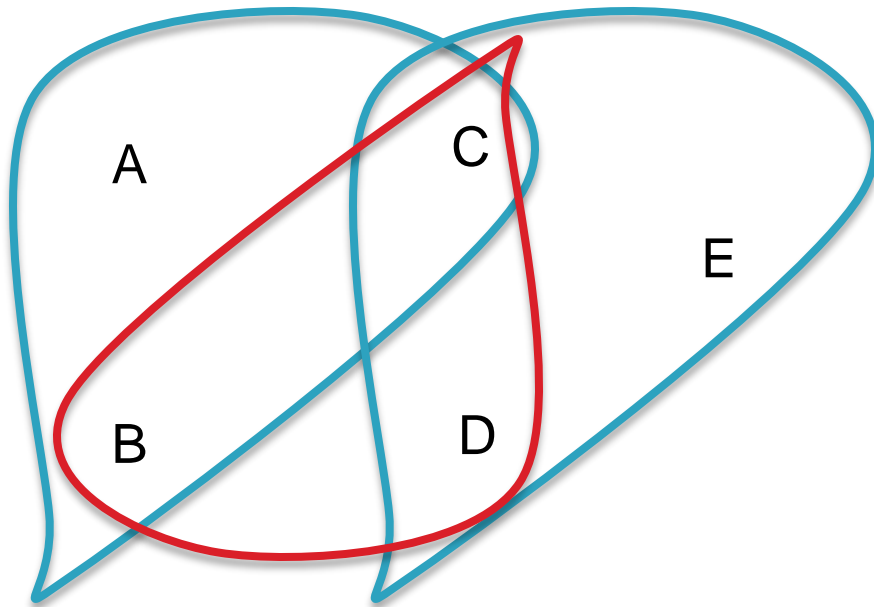
# Yannakakis Algorithm [1981]

- ▶ Called “semi-join reducer sequences”
  - Basically get rid of tuples from each relation that don't contribute to the output
  - Result EMPTY in our example, but in general, only relevant tuples will be left
- ▶ Once this is done, you can do join in any order
  - Guaranteed that the total time is “linear” in the total size of the inputs and output
  - Can't avoid dependence on the output -- the join query may do a Cartesian product
- ▶ Can be generalized to any “acyclic” query

# Acyclic Queries?

- ▶ Conjunctive queries as “hypergraphs”

$q() :- R1(A, B, C), R2(B, C, D), R3(C, D, E)$

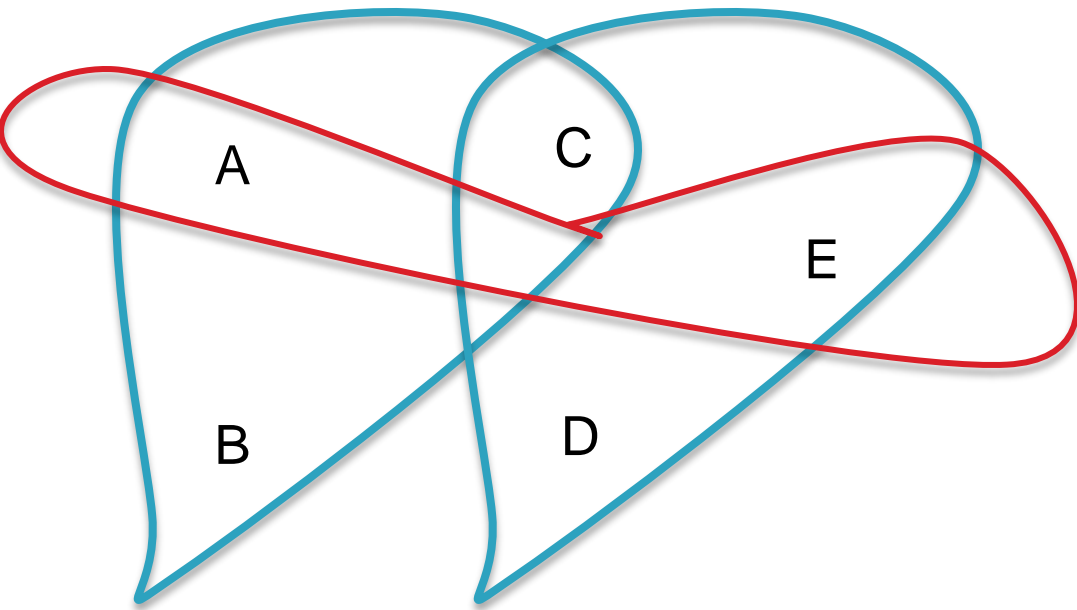


Each attribute == a vertex  
Each relation == a “hyperedge”

# Acyclic Queries?

- ▶ Conjunctive queries as “hypergraphs”

$q() :- R1(A, B, C), R2(C, D, E), R3(A, E)$

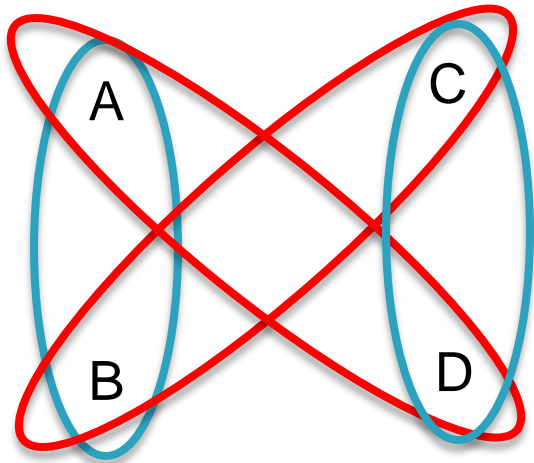


Each attribute == a vertex  
Each relation == a “hyperedge”

# Acyclic Queries?

- ▶ If all relations are 2 attributes, then the hypergraph is same as a graph

$q() :- R1(A, B), R2(B, C), R3(C, D), R4(D, A)$



Acyclic queries in this case ==  
the graph has no cycles, i.e., the  
graph is a tree

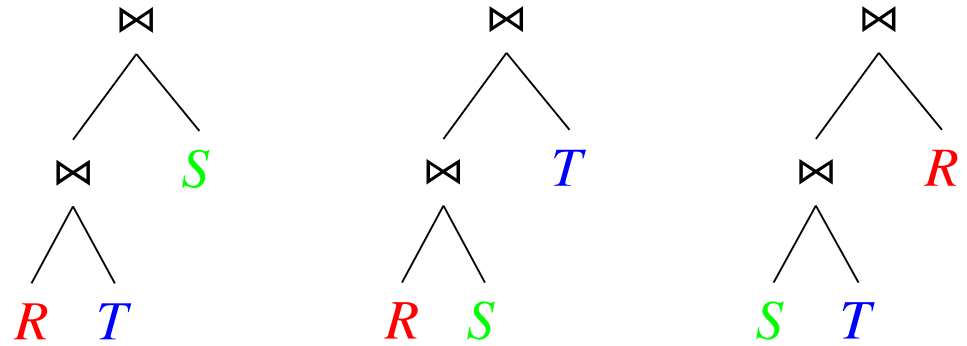
More complex for hypergraphs

# Structural Approaches

- ▶ For “acyclic” queries, can always find a semijoin reducer sequence
  - Can be done in optimal time: linear in size of inputs + output
- ▶ What about non-acyclic queries?
  - Try to define how “far” from acyclic-ness
  - Captured as “width” of the hypergraph
    - Width of acyclic hypergraphs = 1
- ▶ AGM [FOCS, 2008] defined “fractional hypertree width”, and an algorithm that runs in  $O(N^{(fhw+1)} \log N)$
- ▶ Several more practical algorithms since then, including one that was implemented before it was proved optimal

# Triangle Query

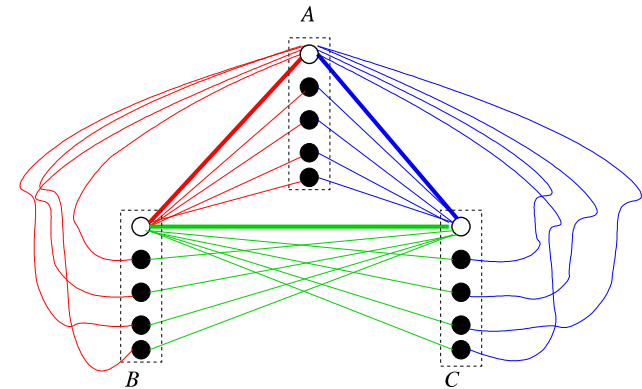
$$Q_{\Delta} = R(A, B) \bowtie S(B, C) \bowtie T(A, C).$$



$$R = \{a_0\} \times \{b_0, \dots, b_m\} \cup \{a_0, \dots, a_m\} \times \{b_0\}$$

$$S = \{b_0\} \times \{c_0, \dots, c_m\} \cup \{b_0, \dots, b_m\} \times \{c_0\}$$

$$T = \{a_0\} \times \{c_0, \dots, c_m\} \cup \{a_0, \dots, a_m\} \times \{c_0\}$$



Each relation has:  $2m + 1$  tuples

Output =  $3m + 1$

Any pairwise join has size:  $m^2 + m$

Projections/Semi-joins don't help



# Triangle Query

$$Q_{\Delta} = R(A, B) \bowtie S(B, C) \bowtie T(A, C).$$

$$R = \{a_0\} \times \{b_0, \dots, b_m\} \cup \{a_0, \dots, a_m\} \times \{b_0\}$$

$$S = \{b_0\} \times \{c_0, \dots, c_m\} \cup \{b_0, \dots, b_m\} \times \{c_0\}$$

$$T = \{a_0\} \times \{c_0, \dots, c_m\} \cup \{a_0, \dots, a_m\} \times \{c_0\}$$

A	B	B	C	A	C
a0	b0	b0	c0	a0	c0
a0	b1	b0	c1	a0	c1
a0	b2	b0	c2	a0	c2
..	..	..	..	..	..
a0	b_m	b0	c_m	a0	c_m
aθ	bθ	bθ	εθ	aθ	εθ
a1	b0	b1	c0	a1	c0
...	..	...	..	...	..
a_m	b0	b_m	c0	a_m	c0

output

A	B	C
a0	b0	c0
a0	b1	c0
...	..	..
a0	b_m	c_0
aθ	bθ	εθ
a1	b0	c0
a2	b0	c0
...	..	..
a_m	b0	c0
aθ	bθ	εθ
a0	b0	c1
a0	b0	c2
..	..	..
a0	b0	c_m

# Algorithm 1: Power of Two Choices

Skew in the relations:  $a_0$  generates a lot of intermediate tuples, but not as many output tuples

$$Q_{\Delta}[a_i] := \pi_{B,C}(\sigma_{A=a_i}(Q_{\Delta})).$$

Call  $a_i$  heavy if:

$$|\sigma_{A=a_i}(R \bowtie T)| \geq |Q_{\Delta}[a_i]|.$$

Two Choices for each  $a_i$ :

If  $a_i$  is light

- (i) Compute  $\sigma_{A=a_i}(R) \bowtie \sigma_{A=a_i}(T)$  and filter the results by probing against  $S$  or
- (ii) Consider each tuple in  $(b, c) \in S$  and check if  $(a_i, b) \in R$  and  $(a_i, c) \in T$ .

If  $a_i$  is heavy

Can prove to run in :  $O(N^{1.5})$

# Algorithm 1: Power of Two Choices

---

**Algorithm 1** Computing  $Q_\Delta$  with power of two choices.

---

**Input:**  $R(A, B), S(B, C), T(A, C)$  in sorted order

```
1:  $Q_\Delta \leftarrow \emptyset$ 
2:  $L \leftarrow \pi_A(R) \cap \pi_A(T)$ 
3: For each  $a \in L$  do
4:   If  $|\sigma_{A=a}R| \cdot |\sigma_{A=a}T| \geq |S|$  then
5:     For each  $(b, c) \in S$  do
6:       If  $(a, b) \in R$  and  $(a, c) \in T$  then
7:         Add  $(a, b, c)$  to  $Q_\Delta$ 
8:   else
9:     For each  $b \in \pi_B(\sigma_{A=a}R) \wedge c \in \pi_C(\sigma_{A=a}T)$  do
10:      If  $(b, c) \in S$  then
11:        Add  $(a, b, c)$  to  $Q_\Delta$ 
12: Return  $Q$ 
```

R and T are in sorted order

Either build indexes, or do a variation of binary search

# Algorithm 2: Delay Computation

For each value  $a_i$ , compute valid values of B that join with it:

$$\pi_B(\sigma_{A=a_i}R) \cap \pi_B S$$

For each value of b in the above result, compute valid values of C:

$$\pi_C(\sigma_{B=b}S) \cap \pi_C(\sigma_{A=a_i}T).$$

Can prove to run in :  $O(N)$  on our bad example

General worst-case complexity the same as the previous algorithm

# Algorithm 2: Delay Computation

---

**Algorithm 2** Computing  $Q_\Delta$  by delaying computation.

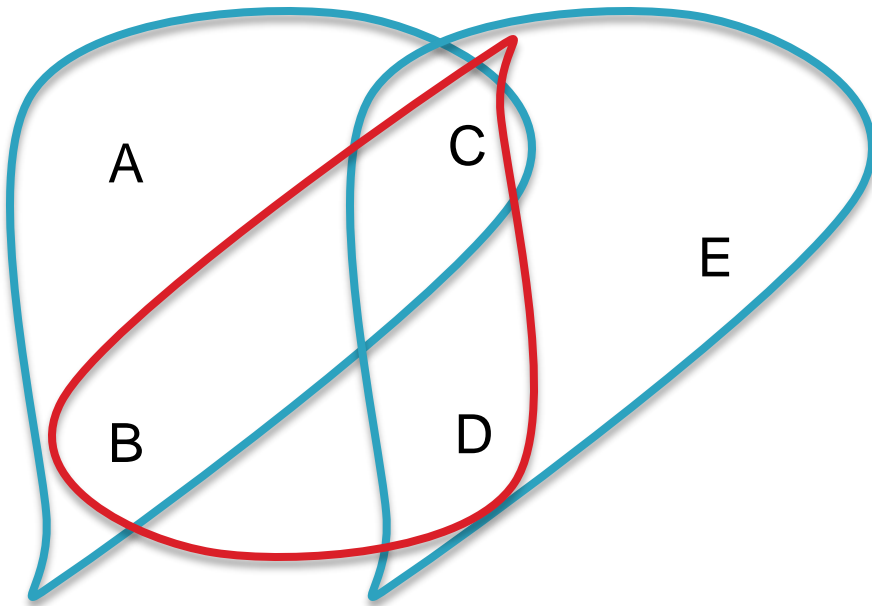
---

**Input:**  $R(A, B), S(B, C), T(A, C)$  in sorted order

- 1:  $Q \leftarrow \emptyset$
  - 2:  $L_A \leftarrow \pi_A R \cap \pi_A T$
  - 3: **For** each  $a \in L_A$  **do**
  - 4:      $L_B^a \leftarrow \pi_B \sigma_{A=a} R \cap \pi_B S$
  - 5:     **For** each  $b \in L_B^a$  **do**
  - 6:          $L_C^{a,b} \leftarrow \pi_C \sigma_{B=b} S \cap \pi_C \sigma_{A=a} T$
  - 7:         **For** each  $c \in L_C^{a,b}$  **do**
  - 8:             Add  $(a, b, c)$  to  $Q$
  - 9: **Return**  $Q$
-

# AGM Bound on Join Sizes

q() :- R1(A, B, C), R2(B, C, D), R3(C, D, E)



Assign a weight to each of R1, R2, and R3

Say:

R1  $\rightarrow$  0.5

R2  $\rightarrow$  0.5

R3  $\rightarrow$  0.5

Total for B = 0.5 + 0.5  $\geq$  1

B is "covered"

C (1.5), and D (1) are covered

A and E are not covered.

A set of weights is called "fractional edge cover" if all attributes are covered

Infinite number of fractional edge covers



# AGM Bound on Join Sizes

Why do we care?

Say we have “l” relations in a query  $q$ , with sizes  $N_j$ ,  $j = 1, \dots, l$

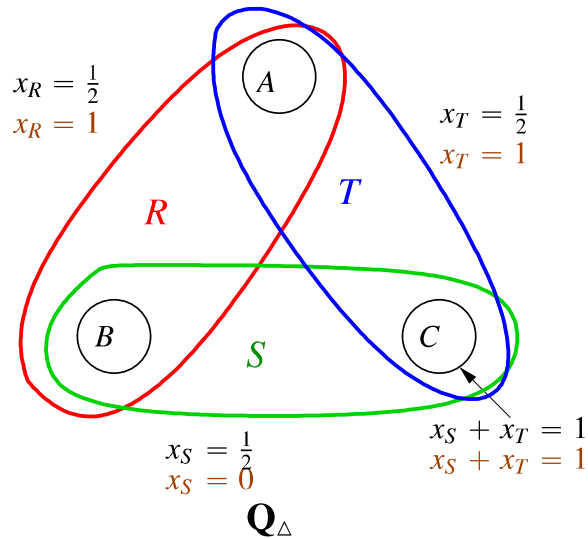
Let  $u$  denote any fractional edge cover -- so  $u_j$  is the weight for relation with size  $N_j$

Then, the size of the result is bounded by:

$$|q| \leq \prod_{j=1}^{\ell} N_j^{u_j}$$



# AGM Bound on Join Sizes



Using the first cover, result size bounded by:

$$|Q_\Delta| \leq \sqrt{|R| \cdot |S| \cdot |T|}.$$

If  $|R| = |S| = |T|$ , then the bound is  $N^{1.5}$  -- which is tight

But if  $|R| = |T| = 1$ , and  $|S| = N$ , then the bound is  $\sqrt{N}$   
-- Far from tight -- there can only be 1 triangle

Using the second cover, result size bounded by:

$$|Q_\Delta| \leq |R| \cdot |T|.$$

If  $|R| = |S| = |T|$ , then the bound is  $N^2$  -- not great

But if  $|R| = |T| = 1$ , and  $|S| = N$ , then the bound is 1

# A Generic Algorithm

---

## Algorithm 1: Generic Worst-Case Optimal Join

---

**given** : A query hypergraph  $H_Q = (V, \mathcal{E})$  with attributes  $V = \{v_1, \dots, v_n\}$  and hyperedges  $\mathcal{E} = \{E_1, \dots, E_m\}$ .

**input** : The current attribute index  $i \in \{1, \dots, n + 1\}$ , and a set of relations  $\mathcal{R} = \{R_1, \dots, R_m\}$ .

```
1 function enumerate( $i, \mathcal{R}$ )
2   if  $i \leq n$  then
3     // Relations participating in the current join
4      $\mathcal{R}_{join} \leftarrow \{R_j \in \mathcal{R} \mid v_i \in E_{R_j}\}$ ;
5     // Relations unaffected by the current join
6      $\mathcal{R}_{other} \leftarrow \{R_j \in \mathcal{R} \mid v_i \notin E_{R_j}\}$ ;
7     // Key values appearing in all joined relations
8     foreach  $k_i \in \bigcap_{R_j \in \mathcal{R}_{join}} \pi_{v_i}(R_j)$  do
9       // Select matching tuples
10       $\mathcal{R}_{next} \leftarrow \{\sigma_{v_i=k_i}(R_j) \mid R_j \in \mathcal{R}_{join}\}$ ;
11      // Recursively enumerate matching tuples
12      enumerate( $i + 1, \mathcal{R}_{next} \cup \mathcal{R}_{other}$ );
13   else
14     // Produce result tuples
15     produce( $\bigtimes_{R_j \in \mathcal{R}} R_j$ );
```

Process each attribute (variable) at a time


Find all relations that contain that attribute

Do an intersection across all the relations for that attribute

For each value that is present for  $v_i$  in all of  $\mathcal{R}_{join}$ :

- Select from each relation only those where  $v_i = k_i$
- Recurse with those relations plus the rest of the relations

# Recap/Thoughts

- ▶ Quite a bit of work on this topic in the last 10 years
  - ▶ Several implementations
    - Often in the context of graph querying
    - Usually require significant pre-computations and specialized indexes
      - The “intersection” step in the previous slide is a key one
    - Some recent work (VLDB 2020) on a more practical implementation using hash indexes instead of sort-based tries
  - ▶ Still not clear when to use them and when to use binary joins
  - ▶ Open theoretical issues
  - ▶ What about outerjoins, etc?
- 

# Outline

- ▶ Part 1 Slides
  - Query evaluation techniques for large databases, Skew Avoidance, Query compilation/vectorization
  - Query Optimization: Overview, How good are the query optimizers, really?, Reordering for Outerjoins, Query Rewriting
- ▶ Adaptive Query Processing
- ▶ Worst-case Optimal Join Processing
- ▶ **Froid: UDFs and Databases**
  - **Background**
  - Froid

# User-defined Functions/Procedures

- ▶ Supported by database systems since late 80s

```
CREATE FUNCTION add(integer, integer) RETURNS integer
AS 'select $1 + $2;'
LANGUAGE SQL
IMMUTABLE
RETURNS NULL ON NULL INPUT;
```

```
CREATE OR REPLACE FUNCTION update_influencers_on_insert()
RETURNS TRIGGER
LANGUAGE PLPGSQL
AS
$$
declare
    cnt integer;
    username varchar;
BEGIN
    select count(*) into cnt from follows where userid2 = NEW.userid2;
    select max(name) into username from users where userid = NEW.userid2;
    IF cnt = 11 THEN
        insert into influencers values (NEW.userid2, username, cnt);
    ELSIF cnt > 11 THEN
        update influencers set num_followers = cnt where userid = NEW.userid2;
    END IF;
    RETURN NEW;
END
$$;
```

# User-defined Functions/Procedures

- ▶ Supported by database systems since late 80s
- ▶ Three main benefits:
  - Modular code
  - Easier to write some code in an imperative language (e.g., ML)
  - Fewer round-trips between application and database
    - Significant performance issues if done repeatedly (e.g., for every order)

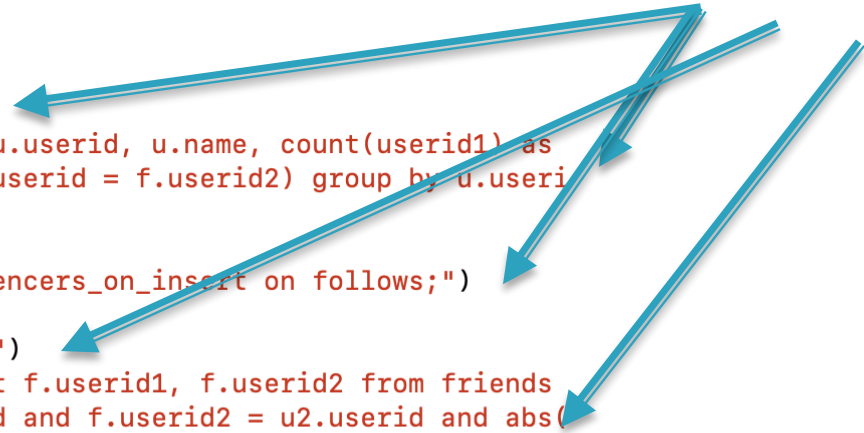
Each of these is a separate call from the application to the server

```
conn = psycopg2.connect("host=127.0.0.1 dbname=socialnetwork user=postgres password=postgres")
cur = conn.cursor()
```

```
cur.execute("drop table if exists influencers;")
cur.execute("create table influencers as select u.userid, u.name, count(userid1) as num_followers from users u join follows f on (u.userid = f.userid2) group by u.userid, u.name having count(userid1) > 10;")
```

```
cur.execute("drop trigger if exists update_influencers_on_insert on follows;")
```

```
cur.execute("drop table if exists friends_small;")
cur.execute("create table friends_small as select f.userid1, f.userid2 from friends f, users u1, users u2 where f.userid1 = u1.userid and f.userid2 = u2.userid and abs(extract(year from u1.birthdate) - extract(year from u2.birthdate)) < 5;")
conn.commit()
```



# User-defined Functions/Procedures

- ▶ Supported by database systems since late 80s
- ▶ Three main benefits:
  - Modular code
  - Easier to write some code in an imperative language (e.g., ML)
  - Fewer round-trips between application and database
    - Significant performance issues if done repeatedly (e.g., for every order)
- ▶ Stonebraker notes the latter as the primary reason for adoption of OR features (“what comes around goes around” paper)
  - “Put differently, the major contribution of the OR efforts turned out to be a better mechanism for stored procedures and user-defined access methods.”
- ▶ Also called “stored procedures”, with some minor differences across systems

# Terminology

- ▶ User-defined functions
  - Scalar (return a single value) or Table Functions (return a relation)
  - Can be used in queries (WHERE/SELECT/FROM, etc), depending on scalar or table function
  - UDFs typically not allowed to make changes to the database
- ▶ Stored procedures
  - Similar, but can only be executed using a CALL or EXECUTE command
  - Usually mutate the state of the database
- ▶ Triggers
  - Something that happens because of an event (e.g., an insert in orders results in an insert in another table)
  - Similar to stored procedures for the actual action



# UDF Challenges


## ▶ Optimization

- UDFs can be very expensive -- coverage() does image analysis of some form
- Cost of UDFs is hard to estimate -- may depend on the inputs
- Selectivity of UDFs is hard to estimate -- statistics don't really help

```
/* Find all maps from week 17 showing more than
   1% snow cover. Channel 4 contains images
   from the frequency range that interests us. */
retrieve (maps.name)
  where maps.week = 17 and maps.channel = 4
     and coverage(maps.picture) > 1
```

Example from: "Predicate Migration; Hellerstein and Stonebraker; SIGMOD 1993

# UDF Challenges

- ▶ Optimization
    - UDFs can be very expensive -- coverage() does image analysis of some form
    - Cost of UDFs is hard to estimate -- may depend on the inputs
    - Selectivity of UDFs is hard to estimate -- statistics don't really help
  - ▶ UDFs cannot be parallelized easily
    - May result in single-threaded execution
  - ▶ Forces tuple-at-a-time execution
    - Hard to use any of subquery decorrelation techniques
  - ▶ Often interpreted execution
  - ▶ Well-known issues resulting in bad performance in many practical scenarios
- 

# Outline

- ▶ Part 1 Slides
  - Query evaluation techniques for large databases, Skew Avoidance, Query compilation/vectorization
  - Query Optimization: Overview, How good are the query optimizers, really?, Reordering for Outerjoins, Query Rewriting
- ▶ Adaptive Query Processing
- ▶ Worst-case Optimal Join Processing
- ▶ **Froid: UDFs and Databases**
  - Background
  - **Froid**

# Background on T-SQL

- ▶ SQL Server supports: UDFs (cannot modify state), and Stored Procedures (can modify state)

```
create function total_price(@key int)
returns char(50) as
begin
1  declare @price float, @rate float;
2  declare @pref_currency char(3);
3  declare @default_currency char(3) = 'USD';

4  select @price = sum(o_totalprice) from orders
                    where o_custkey = @key;
5  select @pref_currency = currency
        from customer_prefs
        where custkey = @key;

6  if(@pref_currency <> @default_currency)
    begin
7      select @rate =
                xchg_rate(@default_currency,@pref_currency);
8      set @price = @price * @rate;
    end
9  return str(@price) + @pref_currency;
end

create function xchg_rate(@from char(3), @to char(3))
returns float as
begin
1  return (select rate from dbo.xchg
          where from_cur = @from and to_cur = @to);
end
```

■ Sequential region

■ Conditional region

```
select c_name, dbo.total_price(c_custkey)
from customer;
```

Figure 1: Example T-SQL User defined functions

# UDF Evaluation in SQL Server

## ▶ Steps

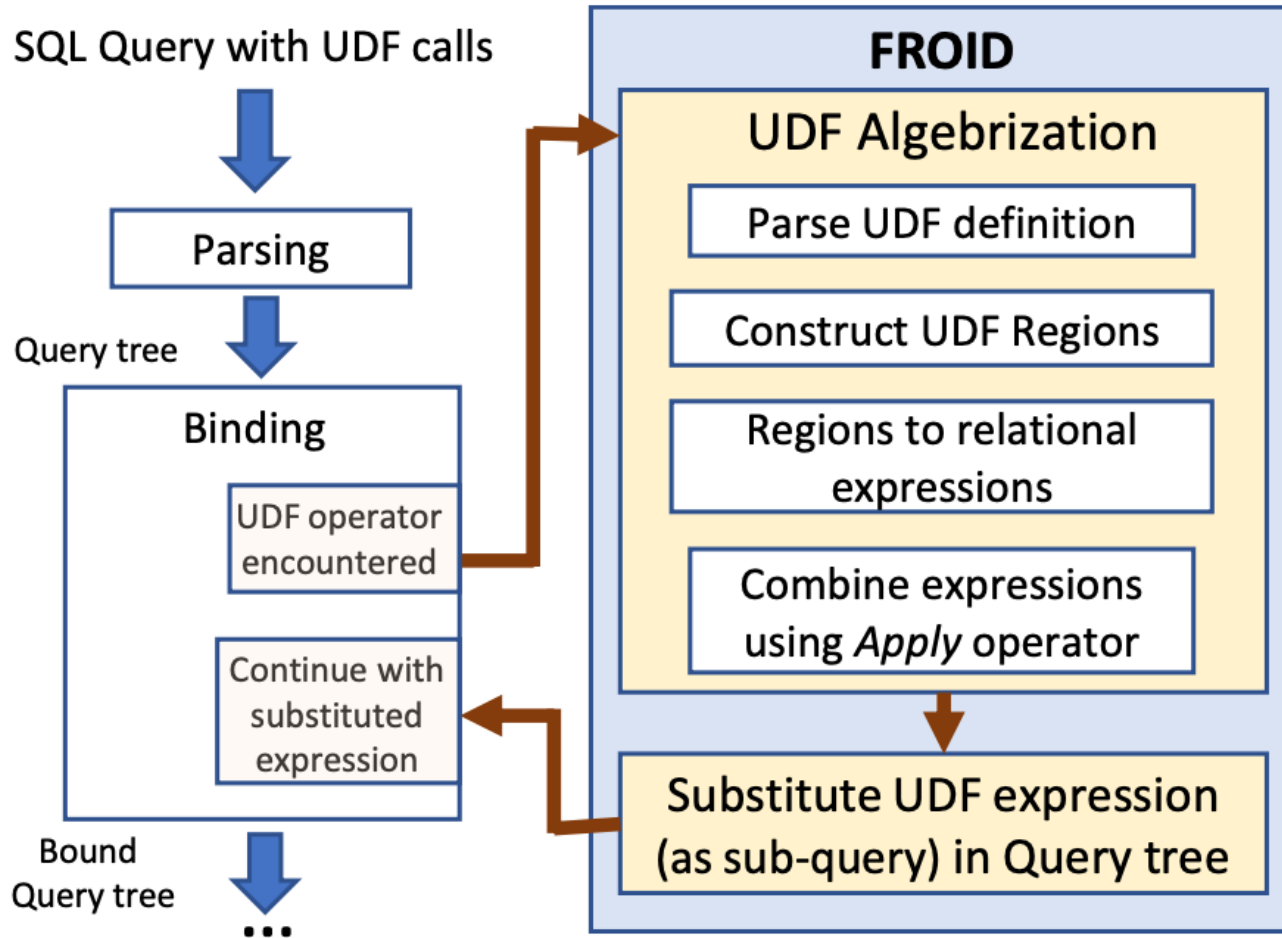
- Parsing, binding, normalization: scalar UDFs bound as a UDF operator, but the definition not analyzed
- Cost-based optimization: Query plans (including for each statement in a UDF) are cached
- Execution: For each tuple, scalar evaluation sub-system is called
  - May make calls back to the relational execution engine
  - Compilation for an UDF happens on the first call

## ▶ Drawbacks

- Iterative invocations (one at a time) -- leads to repeated context switches
- No costing, Interpreted statement-by-statement (with caching of plans)
- No intra-query parallelism (as of 2017)

# Froid Framework

- ▶ Inline the UDFs by analyzing the code



**Figure 3:** Overview of the Froid framework

# Froid Framework

- ▶ Makes use of APPLY Operator

- Basically a “flatmap”
- For each tuple  $r$  of  $R$ , combine it with each output of  $E(r)$  to generate new tuples

$$R \bowtie E = \left[ \begin{array}{l} \{r\} \bowtie E(r) \\ r \in R \end{array} \right]$$

- The “join” can be: cross product, left outer-join, left-semijoin, or left-antijoin
- ▶ SQL Server already uses these extensively for subquery decorrelation (as we saw earlier)

# Froid Framework

- ▶ Supports imperative constructs in scalar UDFs

**Table 1:** Relational algebraic expressions for imperative statements (using standard T-SQL notation from [33])

Imperative Statement (T-SQL)	Relational expression (T-SQL)
DECLARE {@var data_type [= expr]}, ... n};	SELECT {expr null AS var}, ... n};
SET {@var = expr}, ... n};	SELECT {expr AS var}, ... n};
SELECT {@var1 = prj_expr1}, ... n} FROM sql_expr;	{SELECT prj_expr1 AS var1 FROM sql_expr}; [, ... n}
IF (pred_expr) {t_stmt; [, ... n]} ELSE {f_stmt; [, ... n]}	SELECT CASE WHEN pred_expr THEN 1 ELSE 0 END AS pred_val; {SELECT CASE WHEN pred_val = 1 THEN t_stmt ELSE f_stmt; }[, ... n}
RETURN expr;	SELECT expr AS returnVal;



# UDF Algebrization

## ▶ Construction of regions

- Basic sequential regions, condition regions (if-else), and loop regions (loops)
- Hierarchical (regions can contain regions)

## ▶ Relational expressions for each region

- Variable declarations/assignments

```
set @default_currency = 'USD';
```



```
select 'USD' as default_currency.
```

```
(select sum(o_totalprice) from orders  
  where o_custkey = @key) as price,
```



```
select(select sum(o_totalprice) from orders  
  where o_custkey = @key) as price
```

# UDF Algebrization

- ▶ Relational expressions for each region

- Variable declarations/assignments
- Conditional statements

```
if (@total > 1000)
    set @val = 'high';
else
    set @val = 'low';
```



```
select (case when total > 1000 then 'high'
            else 'low' end ) as val.
```

- Return statements
  - Code may have multiple return points
  - Modeled as a “jump” to the end of the codeblock
  - Implemented through use of “probe” and “pass-through” of APPLY

# UDF Algebraization

## ► Combining expressions for multiple statements

- For each statement: compute a “read-set” and a “write-set”

```

create function total_price(@key int)
returns char(50) as
begin
1  declare @price float, @rate float;
2  declare @pref_currency char(3);
3  declare @default_currency char(3) = 'USD';

4  select @price = sum(o_totalprice) from orders
   where o_custkey = @key;
5  select @pref_currency = currency
   from customer_prefs
   where custkey = @key;

6  if(@pref_currency <> @default_currency)
   begin
7  select @rate =
   xchg_rate(@default_currency,@pref_currency);
8  set @price = @price * @rate;
   end
9  return str(@price) + @pref_currency;
end

create function xchg_rate(@from char(3), @to char(3))
returns float as
begin
1  return (select rate from dbo.xchg
   where from_cur = @from and to_cur = @to);
end
    
```

■ Sequential region    □ Conditional region

Figure 1: Example T-SQL User defined functions

Table 2: Derived tables for regions in function *total\_price*.

Region	Write-sets (Derived table schema)
R1	DT1 (price float, rate float, default_currency char(3), pref_currency char(3))
R2	DT2 (price float, rate float)
R3	DT3 (returnVal char(50))

Use these as the “schemas” of derived tables to be computed

```

select DT3.returnVal from
R1 (select 'USD' as default_currency,
   (select sum(o_totalprice) from orders
    where o_custkey = @key) as price,
   (select currency from customer_prefs
    where custkey = @key) as pref_currency) DT1
outer apply
R2 (select
   case when DT1.pref_currency <> DT1.default_currency
   then DT1.price * xchg_rate(DT1.default_currency,
   DT1.pref_currency)
   else DT1.price end as price) DT2
outer apply
R3 (select str(DT2.price) + DT1.pref_currency
   as returnVal) DT3
    
```

Figure 4: Relational expression for UDF *total\_price*

# UDF Algebrization

- ▶ Combining expressions for multiple statements
  - For each statement: compute a “read-set” and a “write-set”
  - Use these as schemas of derived tables
  - Connect the regions using APPLY (with pass-through in case of multiple return statements)
- ▶ Correctness?
  - Each individual transformation correct by itself
  - All derived tables contain a single tuple
  - Outer apply preserves the semantics of combined execution
- ▶ Note: Doesn't handle loops -- may be trickier to model

# Substitution and optimization

- ▶ Replace the scalar UDF with the relational expression (not as SQL, but rather operators)
- ▶ Let the optimizer de-correlate and optimize
- ▶ Resulting plan looks complex, but decorrelates as desired

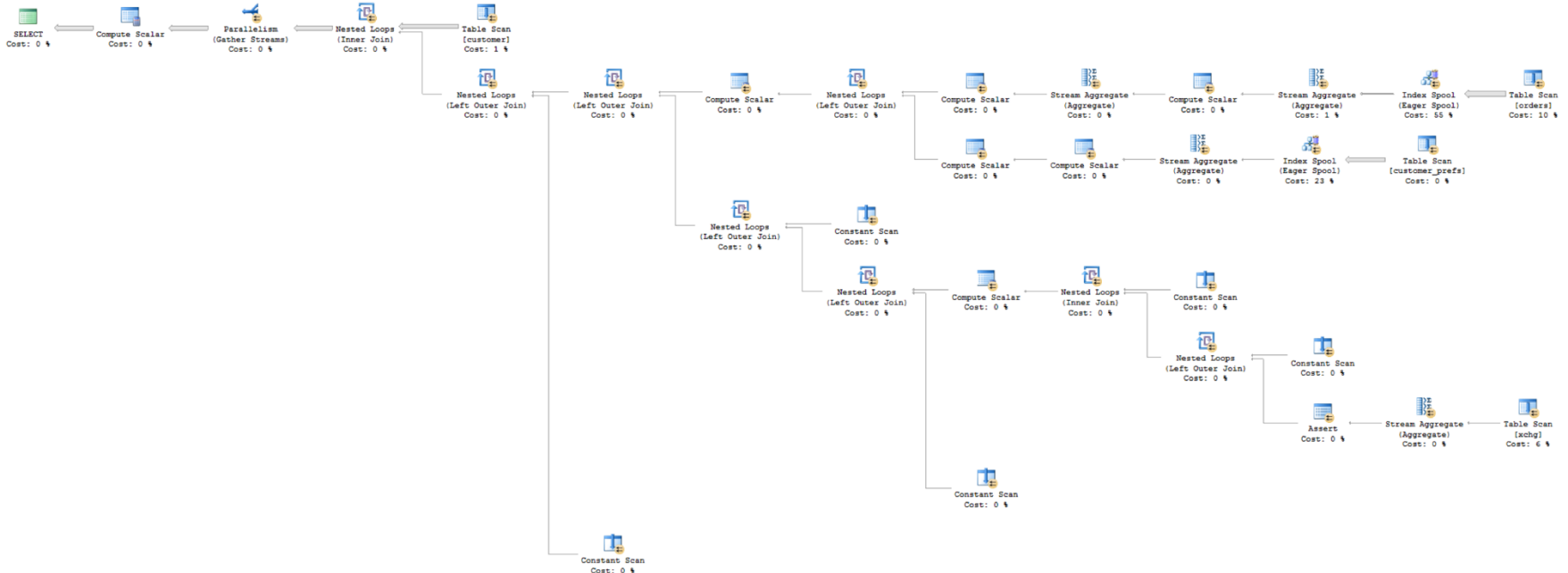


Figure 5: Plan for inlined UDF total\_price of Figure 1

# Compiler Optimizations

- ▶ Dynamic slicing: use compile-time constants to simplify queries
- ▶ Constant folding and propagation: already done by SQL server
- ▶ Dead code elimination: optimizer handles these during project pushdown

```
create function getVal(@x int)
returns char(10) as
begin
  declare @val char(10);
  if(@x > 1000)
    set @val = 'high';
  else set @val = 'low';
  return @val + ' value';
end
```

(a) Input UDF

(i) Dynamic slicing for getVal(5000)

```
begin
  declare @val char(10);
  set @val = 'high';
  return @val + ' value';
end
```

(ii) Constant propagation & folding

```
begin
  declare @val char(10);
  set @val = 'high';
  return 'high value';
end
```

(iii) Dead code elimination

```
begin
  return 'high value';
end
```

(b) Common optimizations done by an imperative language compiler

```
select returnVal from
(select case when @x > 1000
 then 'high' else 'low' end as val) DT1
outer apply
(select DT1.val + ' value'
 as returnVal) DT2
```

(c) Output of FROID's Algebraization

```
select returnVal from
(select 'high' as val) DT1
outer apply
(select DT1.val + ' value'
 as returnVal) DT2
→
select returnVal from
(select 'high value'
 as returnVal) DT1
→
select 'high value';
```

(d) How FROID achieves the same end result as Figure 5(b) using relational algebraic transformations

**Figure 5:** Compiler optimizations as relational transformations. For ease of presentation, (c) and (d) are shown in SQL; these are actually transformations on the relational query tree representation.

# Design and Implementation

- ▶ Should this inlining be done in a cost-based manner?
  - Influences whether it takes place during binding or during query optimization
  - Experiments showed it is almost always beneficial + hard to modify optimizers → do it in the binding phase
- ▶ Constraints
  - Put a constraint on the maximum size of UDFs that can be algebrized
- ▶ Froid is extensible -- could handle other languages as well
- ▶ Security and permissions
  - A user may not have permission on the UDF but on the tables, and vice versa
  - Need to be careful with caches as well

# Evaluation

## ▶ Applicability

- Used top 100 customer workloads from Azure SQL → 85329 scalar UDFs
- Froid could handle 60% or so

```
create function dbo.F1(@p1 int, @p2 int)
returns bit as
begin
  if EXISTS
    (SELECT 1 FROM View1 WHERE col1 = 0
     AND col2 = @p1
     AND ((col2 = 2) OR (col3 = 2))
     AND dbo.F2(col4,@p2,0)=1 AND dbo.F2(col5,@p2,0)=1
     AND dbo.F2(col6,@p2,0)=1 AND dbo.F2(col7,@p2,0)=1
     AND dbo.F2(col8,@p2,0)=1 AND dbo.F2(col9,@p2,0)=1
     AND dbo.F2(col10,@p2,0)=1 AND dbo.F2(col11,@p2,0)=1
     AND dbo.F2(col12,@p2,0)=1 AND dbo.F2(col13,@p2,0)=1
     AND dbo.F2(col14,@p2,0)=1 AND dbo.F2(col15,@p2,0)=1)
    return 1
  return 0
end
```

```
create function dbo.VersionAsFloat(@v nvarchar(96))
returns float as
begin
  if @v is null return null
  declare @first int, @second int;
  declare @major nvarchar(6), @minor nvarchar(10);

  set @first = charindex('.', @v, 0);
  if @first = 0
    return CONVERT(float, @v);

  set @major = SUBSTRING(@v, 0, @first);
  set @second = charindex('.', @v, @first + 1);
  if @second = 0
    set @minor=SUBSTRING(@v, @first+1, len(@v)-@first)
  else
    set @minor=SUBSTRING(@v, @first+1, @second-@first-1);

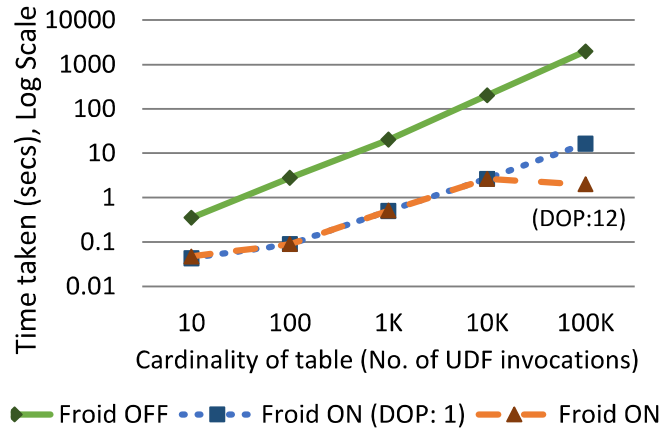
  set @minor = CAST(CAST(@minor AS int) AS varchar);
  return CONVERT(float, @major + '.' + @minor);
end
```

```
CREATE FUNCTION dbo.RptBracket(@MyDiff int, @NDays int)
RETURNS nvarchar(10) AS
BEGIN
  if(@MyDiff >= 5*@NDays)
  begin
    RETURN ( Cast(5 * @NDays as nvarchar(5)) + N'+')
  end

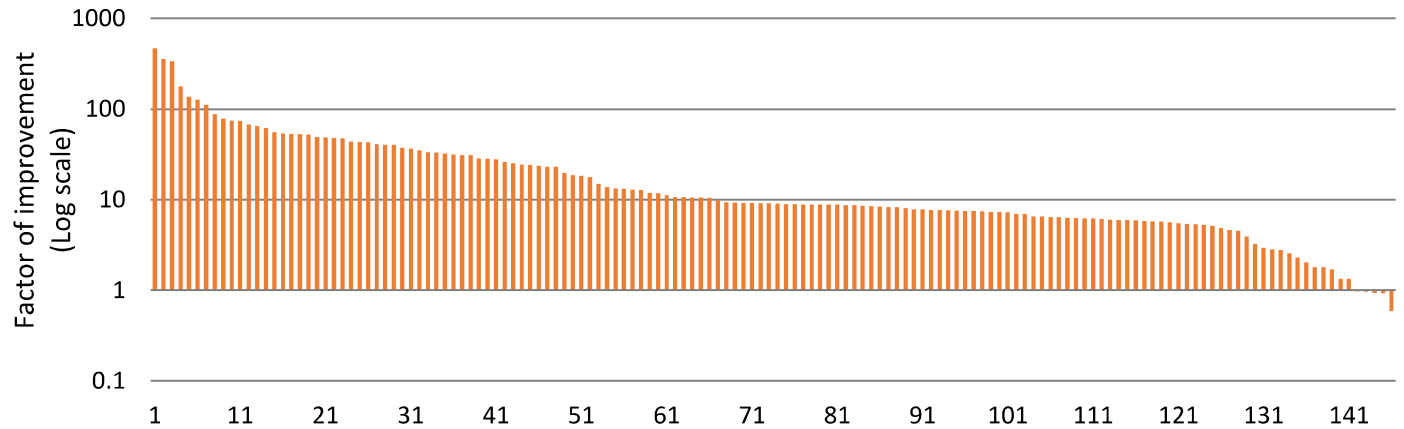
  RETURN ( Cast(Floor(@MyDiff / @NDays) * @NDays as nvarchar(5))
    + N' - '
    + Cast(Floor(@MyDiff / @NDays + 1) * @NDays - 1 as nvarchar(5)))
END
```



# Evaluation



**Figure 6:** Varying the number of UDF invocations



**Figure 10:** Improvement for UDFs in workload W1

# Aggify: Handling Cursor Loops [2020]

```
--Query:
SELECT p_partkey, minCostSupp(p_partkey) FROM PART

-- UDF definition:
create function minCostSupp(@pkey int, @lb int =-1)
returns char(25) as
begin
1  declare @pCost decimal(15,2);
2  declare @minCost decimal(15,2) = 100000;
3  declare @sName char(25), @suppName char(25);

4  if (@lb = -1)
5      set @lb = getLowerBound(@pkey);

6  declare c1 cursor for
    (SELECT ps_supplycost, s_name
     FROM PARTSUPP, SUPPLIER
     WHERE ps_partkey = @pkey
          AND ps_suppkey = s_suppkey);

7  fetch next from c1 into @pCost, @sName;
8  while (@@FETCH_STATUS = 0)
9      if (@pCost < @minCost and @pCost > @lb)
10         set @minCost = @pCost;
11         set @suppName = @sName;
12     fetch next from c1 into @pCost, @sName;
13 end
return @suppName;
end
```

Figure 1: Query invoking a UDF that has a cursor loop.



```
create function minCostSupp(@pkey int, @lb int =-1)
returns char(25) as
begin
declare @minCost decimal(15,2) = 100000;
declare @suppName char(25);

if (@lb = -1)
set @lb = getLowerBound(@pkey);

set @suppName = (
SELECT MinCostSuppAgg(Q.ps_supplycost,
                      Q.s_name, @minCost, @lb)
FROM (SELECT ps_supplycost, s_name
      FROM PARTSUPP, SUPPLIER
      WHERE ps_partkey = @pkey
           AND ps_suppkey = s_suppkey) Q );
return @suppName;
end
```

Figure 7: The UDF in Figure 1 rewritten using Aggify.

```
public class MinCostSuppAgg {
double minCost; string suppName;
int lb; bool isInitialized;

void Init() { isInitialized = false; }

void Accumulate(double pCost, string sName,
                double pMinCost, int pLb) {
if (!isInitialized) {
minCost = pMinCost;
lb = pLb;
isInitialized = true;
}
if (pCost < minCost && pCost > lb) {
minCost = pCost;
suppName = sName;
}
}

string Terminate() { return suppName; }
}
```

Figure 5: Custom aggregate for the loop in Figure 1.